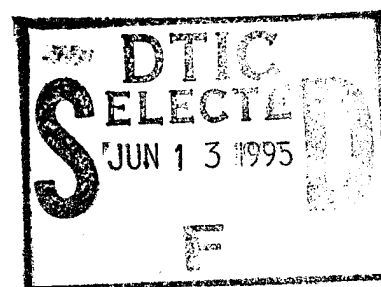


NAVAL POSTGRADUATE SCHOOL MONTEREY, CALIFORNIA



THESIS

SYNERGY IN THE JOINT CONVENTIONAL STRIKE FORCE

by

Steven M. Williams

March, 1995

Thesis Advisor:

Gregory G. Hildebrandt

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Lieutenant, United States Navy
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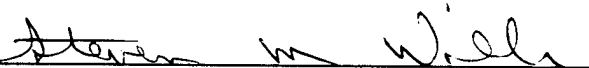
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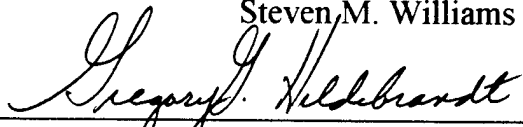
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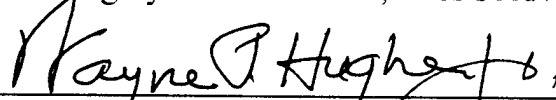


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ABSTRACT

This thesis analyses the synergy among components of the joint conventional strike force in order to determine the most effective force structure. The analysis begins by constructing a conceptual model of military decision behavior within the context of force structure decisions, using the two primary roles of the military, deterrence and warfighting. From the model, synergistic relationships are identified which are later exploited. The joint force components used in the analysis are aircraft carriers, surface combatants with Tomahawk cruise missiles, and long-range bombers. Procurement and operating costs are estimated for the individual components, then combined into three equal-cost joint forces with varying numbers of naval groups and bombers. A qualitative assessment of the ability of each joint force to deter conflict is made. Then, using a stylized scenario, the analysis quantifies warfighting effectiveness, both with and without considering attrition. However, total effectiveness is not a simple additive solution of deterrence and warfighting. The effects of synergy also must be weighed. The analysis concludes that a balanced joint force structure of both naval groups and bombers produces the greatest effectiveness.

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TABLE OF CONTENTS

| | |
|--|----|
| I. INTRODUCTION | 1 |
| II. CONCEPTUAL MODEL | 5 |
| III. COST ESTIMATION | 9 |
| A. AIR FORCE COMPONENTS | 10 |
| 1. Procurement Costs | 10 |
| 2. Operating and Support Costs | 10 |
| B. NAVAL COMPONENTS | 11 |
| 1. Surface Forces | 11 |
| a. Procurement Costs | 11 |
| b. Operating and Support Costs | 11 |
| 2. Tomahawk | 12 |
| 3. Aircraft | 13 |
| a. Procurement Costs | 13 |
| b. Operating and Support Costs | 13 |
| 4. Naval Groups | 14 |
| C. JOINT FORCE STRUCTURES | 15 |
| IV. FORCE EFFECTIVENESS | 17 |
| A. DETERRENCE | 17 |
| B. WARFIGHTING | 18 |
| 1. Scenario | 18 |
| 2. Measures of Effectiveness | 20 |
| 3. Data Output Without Attrition | 21 |
| 4. Data Output With Attrition | 27 |
| a. Attrition Assumptions | 27 |
| b. Attrition Equation | 28 |

| | |
|------------------------------------|----|
| c. Data Output | 28 |
| d. Measures of Loss | 38 |
| 5. Opportunity Costs | 39 |
| 6. Tanker Requirements | 41 |
| C. TOTAL FORCE EFFECTIVENESS | 43 |
| V. CONCLUSIONS | 45 |
| A. SUMMARY OF RESULTS | 45 |
| B. FUTURE STUDY QUESTIONS | 46 |
| APPENDIX. COST CATEGORIES | 47 |
| LIST OF REFERENCES | 67 |
| INITIAL DISTRIBUTION LIST | 69 |

EXECUTIVE SUMMARY

With the Cold War ended, the U.S. has lost a well-defined enemy in the Soviet Union. Regional conflicts are the bane of peace in the foreseeable future. In this new environment, the military services have each been aggressively defining their respective roles and missions. In support of this, several recent studies have examined the future of air power, particularly naval aircraft and long-range bombers. However, with joint operations becoming a peacetime role in addition to its traditional role in war, solidarity is crucial. Rather than focusing on differences between weapons systems, attention should be directed toward the synergy among forces, how they can be used more effectively together. This synergy needs to be analyzed with consideration for the roles and objectives of the entire military structure.

The military has two primary roles, deterrence of aggression and winning wars when deterrence fails. Deterrence significantly depends on forces visibly present in a region, notably naval forces. Winning wars requires a military that can respond rapidly and project sufficient strength against an aggressor. With a budget constraint, the nation cannot build a military structure that maximizes the effectiveness of each role. Instead, some reduction in effectiveness with respect to each role must be accepted, while relying on synergistic effects among force components that increase total force effectiveness.

Synergy among military forces exists on two levels, strategic and tactical. Strategically, deployed naval forces engaged in presence act as a visible representation of all military forces. Likewise, warfighting effectiveness acts as a force multiplier in improving deterrence effectiveness. Tactical synergy comes in many flavors. Deployed forces form the leading edge of rapid response forces. Further, military components, in their operating methods, increase warfighting effectiveness beyond what each individual component could do alone. In force structure decisions, simply making tradeoffs of weapons systems is not sufficient. The military must also capture the synergistic effects.

We analyzed the deterrence and warfighting effectiveness for the joint conventional strike force, the components of which are aircraft carriers and their airwings, naval combatants with Tomahawk missiles, and long-range bombers. The procurement and

operating costs of the individual elements were estimated, then assembled into three joint forces of equal cost. The three carrier quantities used are 14, 10 and 6. As the quantity of carriers decreases, more bombers are acquired.

For each joint force, we subjectively assessed deterrence effectiveness. We conclude that as the number of carriers declines, deterrence effectiveness diminishes. However, warfighting effectiveness may increase, offsetting the loss in deterrence.

Warfighting effectiveness was quantified using a scenario based in Southwest Asia. Force effectiveness was calculated both with and without attrition to U.S. forces. Effectiveness was measured by the number of targets destroyed, and the number of aircraft sorties. The latter measure of effectiveness is a proxy for the responsiveness and coverage of targets by strike assets. Carrier aircraft were shown to be far more effective in producing sorties, while bombers hold the edge in number of targets destroyed. Deciding on which force structure has more warfighting effectiveness depends on which measure holds more importance. However, there is more than a tradeoff between deterrence and warfighting. The effects on synergy also must be considered.

A joint force structure with an emphasis on carriers has the best deterrence. But its warfighting effectiveness declines because sufficient bombers do not exist to destroy a large number of targets quickly. Expecting carrier aircraft to accomplish this mission exposes them to significant attrition risks. Placing a heavy reliance on bombers results in some loss in deterrence, but a significant number of targets can be hit very quickly. However, the bombers also are exposed to attrition risks due to the reduction in strike support provided by carriers. Therefore, we conclude that a balanced force, with a sufficient number of bombers and carriers, has the necessary synergy to be effective.

I. INTRODUCTION

In the final analysis, our armed forces must be prepared to respond rapidly, to deter, and, if necessary, to fight and win.... (*National Security Strategy*, 1993).

The Cold War symbolically ended with the destruction of the Berlin Wall. As has been the case after every war, debate is centered on the size and shape of the future military forces. The threat of major war is greatly diminished, allowing for a smaller number of forces. However, without the threat of Soviet domination, ethnic and racial hatred has been released. Regional conflicts are the bane of peace in the foreseeable future. Without a well defined enemy, the services have each been aggressively defining their respective roles and missions in the new defense posture. To add to the clutter, the Department of Defense now emphasizes jointness in both warfare and peacetime planning.

Defense of our nation is the fundamental basis for military service and joint warfare is indispensable to that defense. The reason for our existence demands unity in our efforts. (Powell, 1991, p. 2)

The most notable debate concerns air power -- land-based tactical aircraft, long-range bombers, and naval aircraft. Several studies have been conducted espousing the virtues of each.

In response to a report by the Senate Armed Services Committee (SASC), the Center for Naval Analyses (CNA) in December 1991 released an information memorandum comparing long-range bombers and naval forces (Perin 1991). The study examined the missions of carrier battle groups (CVBGs) and bombers. It concluded that because a CVBG has multiple capabilities in both peace and crises, whereas bombers are primarily designed for strike warfare, a direct comparison of the two is not possible. However, some comparisons can be made between tactical aircraft in the carrier air wing (CVW) and bombers in the common mission of strike warfare. Using the proposed A-X aircraft and B-2, equal cost forces were assembled. Then, using a variety scenarios, payload delivered was calculated. Despite the B-2s larger payload, the A-X delivered comparable or greater payload over the

course of a campaign due to its higher sortie rate. The report emphasized aircraft differences which, unfortunately, detracted from the more important identification of complementary and synergistic relationships. Perin concluded by noting "... the U.S. derives many advantages from balanced aviation forces that maintain a degree of tactical and operational complementarity " (Perin, p 57).

On June 17, 1992, Donald Rice, Secretary of the Air Force, testifying before the SASC, presented the *USAF Bomber Roadmap* white paper (Rice, 1992). The Roadmap outlines the strengths of the bomber forces and identifies planned upgrades in survivability and conventional weapons capabilities for the B-1B, B-2A and B-52H. To demonstrate the significance of the upgrades, a hypothetical list of 238 high priority targets to be destroyed in the first 5 days of a conflict was identified. These targets broke down into 1250+ aimpoints. The bomber force of B-1B and B-52H in 1992 could hit only 300 of the aimpoints. By 2001, with the B-2A and improved B-1B and B-52H, all 1250+ aimpoints could be hit in the first 5 days. By combining the B-2A's stealth with standoff weapons, the highest threat defenses can be penetrated, allowing other bombers to strike against low and medium threat defenses. Should a second contingency arise, the bomber force has the capability to quickly swing to the other theater and strike priority targets until additional forces arrive. The paper states that

...bombers have inherent strengths no other weapon system can match. Their combination of range, payload and flexibility make bombers the theater commander's weapon of choice for both crises response and sustained operations. (Rice, 1992)

With joint operations becoming a peacetime role in addition to its traditional role in war, solidarity is crucial. Analysis tends to focus on differences between weapons systems, and why one is preferred over another. Instead, attention should be directed toward the synergy between forces, as Perin recognized but did not explore further. The nation's military needs both carriers and bombers. Emphasis needs to be placed not on the capabilities of singular assets, but on the objectives of the joint force.

In this thesis we analyze the tradeoffs between these joint objectives by focusing on the joint conventional strike force. In Chapter II, we identify the joint force objectives and their contributing factors. From these, a conceptual model of military decision behavior is

developed. It provides the framework from which comparisons and tradeoffs between alternative joint force structures can be drawn. In Chapter III, the components of the joint force are described and their costs estimated. Three equal cost joint forces are then constructed. Chapter IV calculates the capabilities of each force, from the context of the conceptual model of military decision behavior. Finally, Chapter V presents conclusions and recommendations for future study.

II. CONCEPTUAL MODEL

To begin, we must first define the strategic objectives of the military. The *National Military Strategy* in 1992 stated:

The fundamental objective of America's armed forces will remain constant: to deter aggression and, should deterrence fail, to defend the nation's vital interests against any potential foe. Deterrence remains the primary and central motivating purpose underlying our national military strategy (p. 6).

Clearly, the objectives are deterrence and warfighting, with deterrence the more important of the two. In order to analyze these objectives we impose a structure on them using concepts from probability theory.

Deterrence is the capability to prevent or discourage some action, in this case war. Successful deterrence, therefore, reduces the probability of war, $P(\text{War})$. Defining the factors contributing to deterrence generates debate. However, two statements from the *National Military Strategy* provide an answer.

Over the past 45 years, the day-to-day presence of US forces in regions vital to US national interests has been key to averting crises and preventing war... Although the numbers of US forces stationed overseas will be reduced, the credibility of our capability and intent to respond to crises will continue to depend on judicial forward presence (p. 7).

Forward presence helps to reduce regional tensions, to deter potential aggressors, and to dampen regional arms competitions (p. 11).

Successful deterrence, therefore, relates functionally to forward presence.

$$P(\text{War}) = f(\text{presence})$$

The argument is not that only presence affects $P(\text{War})$, but that military strategy emphasizes its importance. Deterrence also depends on the entire structure of foreign relations and on the nation's military posture. Presence can take on many forms, from ground forces stationed overseas, to routine deployment of naval forces, or periodic training exercises with foreign powers. All forms send a signal of U.S. concern and involvement.

But the physical presence of military forces is not sufficient. They also rely on a strategic synergy with forces remaining in the continental U.S. (CONUS). To potential aggressors and allies alike, credible forces, visibly present, represent the totality of U.S. forces

which can be employed in a crises. However, vulnerable forces, or the absence of forces, would be interpreted as the lack of U. S. resolve and provide no deterrence. Admiral Owens states,

In deterrence, however, the issue is what U.S. forces the potential aggressor thinks can get there sooner rather than later. Here, the visible proximity of deployed credible U.S. forces probably has great effect... Visible military presence can, of course, work against our capacity to deter a regional predator if, instead of being impressed with the "invulnerability" of the forces deployed overseas, the predator sees the forces as easy targets and believes the United States sees them as such also (Owens, 1994, p. 31).

Although fostering peace is the primary objective of the military, when deterrence fails, it must be prepared to fight and win. This is the second objective of military forces. When a crises erupts, the conditional probability of winning, given that war has occurred, $P(\text{Win} | \text{War})$, must be significant. The primary functional components of this objective are more easily defined. "We must be able to project power... rapidly and in sufficient strength to defeat any aggressor who has not been deterred by our forward presence" (*National Military Strategy*, 1992, p. 11). Therefore,

$$P(\text{Win} | \text{War}) = g(\text{response time, strength}).$$

Together, the two military objectives yield the joint probability of war and winning.

$$P(\text{War and Win}) = P(\text{War}) * P(\text{Win} | \text{War}) \quad (1)$$

However, evaluation of equation (1) is difficult. For example, if $P(\text{War}) = 0$, meaning peace is certain (a desirable outcome), then $P(\text{War and Win}) = 0$. Unfortunately, we obtain the same answer if $P(\text{Win} | \text{War}) = 0$, or certain loss (highly undesirable). Therefore, as shown in Figure 1, we use equation (1) to construct a conceptual model of military decision behavior and apply utility theory.

In the figure, a square represents some decision to be made. A circle represents the probabilistic outcome of that decision. Although the figure depicts two decisions, they are related by force structure and occur at the same time. In this model, a military decision maker chooses a force structure with some presence characteristics. If peace occurs, the decision maker obtains an arbitrarily chosen amount of 100 utils, a measure of utility. The chosen force structure also possesses some warfighting qualities. If war erupts, the military either loses,

yielding 0 utils, or wins, yielding q utils, an unknown level. We can make two assumptions about the model. First, the decision maker is rational and prefers winning over losing. He cannot earn negative utils from winning a war, therefore $q > 0$. The second assumption, peace is not certain and $P(\text{War}) > 0$.

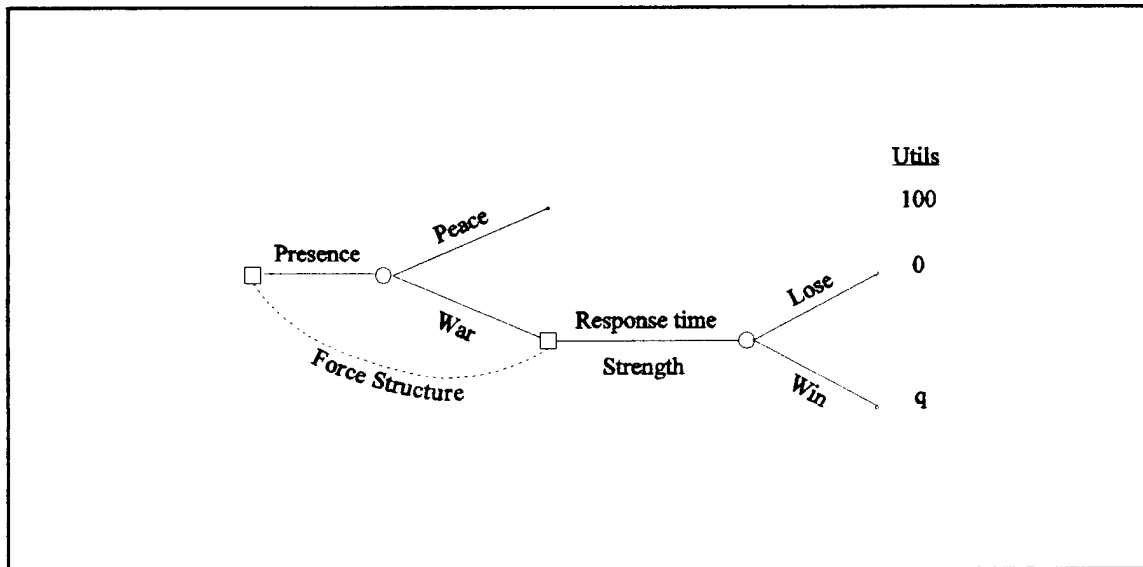


Figure 1. Conceptual military decision behavior model.

We now impose a limited defense budget on the decision maker. The military cannot build a force capable of providing both maximum presence and warfighting capabilities, relying instead on limited portions of each. The decision maker must choose a force structure which maximizes expected utility.

$$\begin{aligned}
 \text{Max } E(\text{Util}) &= P(\text{Peace}) * U(\text{Peace}) + P(\text{War}) * P(\text{Lose} \mid \text{War}) * U(\text{Lose}) \\
 &\quad + P(\text{War}) * P(\text{Win} \mid \text{War}) * U(\text{Win}) \\
 &= P(\text{Peace}) * 100 + P(\text{War}) * P(\text{Win} \mid \text{War}) * q
 \end{aligned} \tag{2}$$

The type of force to build now depends on the value of q . If q is sufficiently small, then the equation is maximized by focusing on deterrence and maximizing $P(\text{Peace})$. If $q > 100$, then the decision maker would prefer to wage war to peaceful negotiations and therefore maximize warfighting potential. If $q = 100$, the choice is ambiguous. However, q is not constant, varying by decision maker and time. Desert Shield/Storm is an example. Iraq

began preferring war, with $q > 100$. Initially, the U.S. preferred negotiations, $q < 100$, until the time deadline arrived, then it too chose war. The challenge for U.S. military decision makers, is to build a balanced force structure which recognizes an unknown q . The decision requires a recognition of the strategic and tactical synergy between presence forces and warfighting forces. Presence forces form the leading edge of the rapid response forces but lack sufficient strength to win the war alone. Warfighting forces provide that strength, and by increasing $P(\text{Win} \mid \text{War})$, further deters an aggressor. Cost is the limiting factor preventing both forces from achieving maximum potential.

We approach this problem by limiting our analysis to strike warfare in examining several joint force structures. A quantitative measurement of deterrence effectiveness is difficult, therefore we make a qualitative assessment. Warfighting capability is analyzed quantitatively, with a subjective appraisal of its influence on deterrence.

III. COST ESTIMATION

Many elements comprise the joint conventional strike force, nuclear aircraft carriers (CVNs) and their airwings (CVWs), naval surface combatants and submarines with Tomahawk cruise missiles (TLAM), Air Force long-range bombers and land-based tactical aircraft. To make the analysis manageable and meaningful, the issue of basing must be considered.

Naval forces enjoy freedom of the seas, giving them the ability to operate close to an aggressor's shore, with due consideration to their own safety. Although their speed is relatively slow, replenishment ships increase the capability to operate at sea for long durations and at greater ranges from friendly bases. Aircraft and TLAM provide the ability to project power from great distances while avoiding hostile weapons, normally from within hundreds of miles of the shore. Long-range bombers, as the name implies, can reach anywhere in the world within hours from the CONUS, provided sufficient aerial refueling exists. Large payloads makes them efficient over these great distances. With the availability of overseas bases, such as Guam or Diego Garcia, round-trip distances to many parts of the globe are considerably lessened. Land-based tactical aircraft can also benefit from overseas bases. But with their smaller payloads and shorter ranges they become inefficient. Like carrier aircraft, they are best used from airfields within hundreds of miles or less from the battle. This requires basing in the theater, which, at the outset of hostilities cannot be guaranteed. For this analysis, we only want to use forces which can be deployed quickly and fight without relying upon theater basing. Therefore, we consider a joint strike force to consist of CVN/CVWs, surface combatants with TLAM, and bombers.

First, we define the specific forces involved, then estimate their procurement and operating and support (O&S) costs. All dollar figures have been converted to constant fiscal year (FY) 1995 dollars using DOD deflators based on total obligational authority from *National Defense Budget Estimates for FY 1994* (1993). The Appendix lists costs by year for all forces used and contains more details on the cost components encompassed by procurement and O&S categories.

A. AIR FORCE COMPONENTS

1. Procurement Costs

The planned inventory of bombers for the near future includes the B-1B, B-2A and B-52H (Rice, 1992). Procurement costs for the B-1B and B-2A are broken out by year in *U.S. Military Aircraft Data Book* (Nicholas, 1977-1994). After converting to constant FY95 dollars, total dollars spent was averaged over the quantity of aircraft procured. This average was then annualized over the planned service life of the aircraft (Hildebrandt, 1985, p. 16), yielding an annualized procurement cost per aircraft. This cost, however, is not the annual cost to replace an aircraft because it does not account for production rates, learning curves, technological changes, and actual service life (Davis, 1993, p. 77).

The approach taken for the B-52H was different due to a lack of yearly data. Instead, an estimate of flyaway cost from *Military Cost Handbook* (Nicholas, 1994), was used. The average ratio of procurement cost to flyaway cost was computed for several aircraft types (Nicholas, 1977-1994). This average ratio was multiplied by the B-52H flyaway cost, and then annualized over the service life.

Three terms used to define the quantity of aircraft in inventory are primary aircraft authorized (PAA), backup aircraft inventory (BAI), and total aircraft inventory (TAI). PAA are those aircraft assigned to a unit for performance of operational missions (AFR 173-13, 1986, p. 139). BAI includes aircraft used to train new pilots, those used in research and development, or undergoing depot-level maintenance. TAI is simply PAA plus BAI. It is important, when estimating the cost of an aircraft squadron, that the costs associated with BAI are captured. Each aircraft's annualized procurement cost was multiplied by TAI and then divided by the number of squadrons. The values for B-1B and B-52H TAI are available from Air Force *VAMOSC*, and number of squadrons is contained in Nicholas (1994). B-2A TAI and squadron numbers were provided by Ritchey (1994).

2. Operating and Support Costs

B-1B and B-52H O&S costs were derived from Air Force *VAMOSC* data between 1990 and 1993. To conform this data to that provided by the Navy, indirect costs associated with base operating and support (BOS) were removed, then averaged by the number of

squadrons. We assume O&S costs do not increase over the service life of an aircraft. B-2A O&S costs were provided by Ritchey (1994), with no correction made for BOS. Table 1 summarizes all bomber aircraft inventory and cost data.

| | TAI | PAA | Squadrons | Procurement | O&S | Total |
|-------|-----|-----|-----------|-------------|-----------|------------|
| B-1B | 94 | 84 | 6 | \$ 256.934 | \$ 95.878 | \$ 352.812 |
| B-2A | 20 | 16 | 2 | 667.213 | 132.500 | 799.713 |
| B-52H | 94 | 84 | 6 | 63.847 | 96.561 | 160.408 |

Table 1. Annualized cost per squadron in millions of FY 95 dollars.

B. NAVAL COMPONENTS

1. Surface Forces

We are interested in surface forces which provide strike potential. The CVN-68 class is an obvious inclusion. Also, many ship classes carry TLAM. We limit the analysis to those ship classes with a vertical launch system (VLS), which are CG-47, DDG-51, and DD-963. To provide sustainability to these surface forces, we include an AOE-6 class fast combat support ship.

a. Procurement Costs

Yearly procurement costs for all surface forces is contained in *U.S. Weapon Systems Costs* (Nicholas, 1977-1994). The average procurement cost per ship in FY 95 dollars was calculated, then annualized by service life (Hildebrandt, 1985, p. 16). The CVN has an additional cost for nuclear refueling and overhaul (Hall, 1994). This cost is included in procurement costs.

b. Operating and Support Costs

Navy *VAMOSC* data on ship class averages from 1986 to 1993 was used. The data for the CVN-68 and CG-47 classes was used directly. Depot-level maintenance costs for the DD-963 are overstated due to a modernization program installing VLS. This cost category was adjusted by equating the ratio of DD-963 to CG-47 depot-level costs to the

ratio of intermediate-level maintenance costs. The DDG-51 class data contains only two observations from 1992 to 1993, with no depot level costs. Its intermediate level costs are very low compared to the CG-47 class, therefore, it is given the same amount of depot level spending as the DD-963 class. The AOE-6 class is new to the fleet and no cost data for it is available. We used O&S data for the AOE-1 class, which is of comparable size and cargo capacity. (*Jane's*, 1994) All ship cost data are contained in Table 2.

| | Procurement | O&S | Total |
|--------|-------------|------------|------------|
| CVN-68 | \$ 176.730 | \$ 165.551 | \$ 286.725 |
| CG-47 | 42.631 | 28.017 | 70.648 |
| DDG-51 | 29.893 | 20.709 | 50.602 |
| DD-963 | 15.059 | 22.352 | 37.411 |
| AOE-6 | 16.875 | 38.320 | 55.195 |

Table 2. Annualized cost per ship in millions of FY 95 dollars.

2. Tomahawk

The procurement costs for TLAM is from *U.S. Missile Data Book* (Nicholas, 1994). The total procurement dollars spent was averaged over the total missiles bought, and then annualized over the service life (Hildebrandt, 1985, p. 16). There is no associated O&S cost. The annualized cost per missile, in millions of FY 95 dollars is \$ 0.241. The notional number of missiles per ship (Davis, 1993, p. 35) is listed in Table 3.

| | TLAM |
|--------|------|
| CG-47 | 30 |
| DDG-51 | 22 |
| DD-963 | 54 |

Table 3. Notional TLAM carried per ship.

3. Aircraft

a. Procurement Costs

The future CVW contains many of the same types of aircraft as today's, but with a greater emphasis on multi-role aircraft. The mix we use combines aspects from *Sortie Generation Factors* (1994) and *Navy Carrier Battle Groups* (Davis, 1993) and includes the F-14D, F/A-18E/F, EA-6B, S-3, E-2C, and SH-60F. Surface combatants also carry helicopters, the SH-60B, and replenishment ships use the CH-46 helicopter. Procurement costs for all aircraft except the CH-46 are broken out by year in *U.S. Military Aircraft Data Book* (Nicholas, 1977-1994), using the most current aircraft variant. Average procurement cost was annualized over the planned service life of the aircraft (Pierrot, 1987, p. 40).

The approach for the CH-46 was similar to that used for the B-52H, due to a lack of yearly data. An estimate of flyaway cost from *Military Cost Handbook* (Nicholas, 1994) was used. The average ratio of procurement cost to flyaway cost was computed for several helicopter types, applied to the CH-46 flyaway cost, and then annualized over the service life.

To determine TAI, the Navy uses the following formula (Pierrot, 1985, p. 38).

$$\begin{aligned} \text{TAI} &= \text{PAA} + \text{Training} + \text{RDT\&E} + \text{Backup} & (3) \\ \text{where: Training} &= .25 * \text{PAA} \\ \text{RDT\&E} &= .03 * \text{PAA} + \text{Training} \\ \text{Backup} &= .15 * \text{PAA} + \text{Training} + \text{RDT\&E} \end{aligned}$$

In Equation 3, the PAA per CVW is used, which yields the TAI needed to support an airwing. The annualized procurement cost was multiplied by TAI for the cost per airwing. The SH-60B PAA level assumes that each surface combatant carries one helicopter. Each replenishment ship operates with two CH-46s.

b. Operating and Support Costs

All O&S costs are obtained from Navy *VAMOS*C data between 1986 and 1993. The average cost over this time period was divided by the average quantity of aircraft in inventory. The average cost per aircraft was then multiplied by TAI. Table 4 summarizes all data.

| | TAI | PAA | Procurement | O&S | Total |
|-----------|-------|-----|-------------|-----------|------------|
| F-14D | 20.73 | 14 | \$ 115.383 | \$ 37.270 | \$ 152.654 |
| F/A-18E/F | 53.30 | 36 | 150.581 | 112.468 | 263.049 |
| EA-6B | 5.92 | 4 | 17.973 | 25.810 | 43.783 |
| S-3 | 11.85 | 8 | 21.080 | 44.288 | 65.368 |
| E-2C | 5.92 | 4 | 25.182 | 23.317 | 48.499 |
| SH-60F | 8.88 | 6 | 7.754 | 23.533 | 31.287 |
| SH-60B | 1.48 | 1 | 2.137 | 3.807 | 5.944 |
| CH-46 | 2.96 | 2 | 0.142 | 23.480 | 23.622 |

Table 4. Annualized aircraft costs in millions of FY 95 dollars.

4. Naval Groups

A great strength of naval forces is their flexibility in forming forces packages to counter any threat. Voss (1991) described the structure of several naval force options, and their respective strengths and weaknesses. We use two of these groups, a carrier battle group (CVBG) and a cruiser task group (CGTG). The CVW consists of the aircraft described above. Each surface combatant carries one SH-60B and each AOE has two CH-46. The notional number of TLAM per ship is doubled to allow for wartime reserves. Table 5 lists the structure of each group and its respective total annualized costs.

| | CVBG | CGTG |
|------------|-------------|------------|
| CVN-68 | 1 | |
| CVW | 1 | |
| CG-47 | 1 | 1 |
| DDG-51 | 2 | 1 |
| DD-963 | 2 | 1 |
| AOE-6 | 1 | 1 |
| SH-60B | 5 | 3 |
| CH-46 | 2 | 2 |
| TLAM | 364 | 212 |
| Total Cost | \$ 1334.160 | \$ 306.321 |

Table 5. Naval group components and total annualized cost in millions of FY 95 dollars.

C. JOINT FORCE STRUCTURES

The joint strike force structure includes the Air Force and Naval components described. The number of naval groups remains constant in order to provide an undeviating quantity, but not necessarily quality, of presence. Each force has a total of 14 naval groups, containing 14, 10, and 6 CVBGs, and 0, 4, and 6 CGTGs, respectively. The number of bombers changes with respect to the level of CVBGs. As the number of CVBGs decreases, more bomber squadrons are purchased, maintaining an equal cost for all three joint structures. This is depicted in Table 6. The number of bomber squadrons in JF2 and the number of CVNs are closest to current levels. A consistent change in bombers between forces is maintained. All costs are within 99 percent of equality.

| | JF1 | JF2 | JF3 |
|------------|---------------|---------------|---------------|
| CVBG | 14 | 10 | 6 |
| CGTG | 0 | 4 | 8 |
| B-1B | 1 | 6 | 11 |
| B-2A | 0 | 2 | 4 |
| B-52H | 1 | 6 | 11 |
| Total Cost | \$ 19,191.455 | \$ 19,245.625 | \$ 19,299.795 |

Table 6. Equal cost joint strike force structures in millions of FY 95 dollars.

IV. FORCE EFFECTIVENESS

A. DETERRENCE

Each of the three joint forces has some deterrence characteristics, which we have already defined as significantly dependent on presence. Although bombers can participate in presence missions, such as training exercises, naval forces represent the most significant contributor. There are two aspects to presence, the quantity and quality of forces.

The quantity of presence is the number of forces deployed. There are three regions where naval forces typically deploy, the Mediterranean Sea (Med), Western Pacific Ocean (WestPac), and Indian Ocean/Arabian Sea (IO). Each of our joint forces has 14 naval groups. We assume a group deploys together to a particular region. With these 14 naval groups, the maximum level of presence which can be maintained each year is 12 months in the Mediterranean, 12 months in the Western Pacific, and 11 months to the Indian Ocean, for a total annual presence of 97 percent (Davis, 1993, p. 25). Presence levels are based on traditional deployment lengths, and maintenance and training cycles during the interdeployment period. Different deployment patterns are possible, but result in a decreased total annual presence percentage. Ignoring the type of naval group deployed, each joint force is equally capable of showing the flag.

The quality of forces present, however, is a necessary concern. Quality is a relative measure, and depends on the threat from adversarial forces. Not all regions or nations have the same level of threat from an aggressor. Likewise, each naval group has different capabilities in countering belligerence. Voss (1991) describes three threat levels, high, medium and low. A high-threat nation possesses sophisticated offensive and defensive air, surface, and subsurface systems. They represent a significant obstruction to the execution of U.S. missions. Medium-threat nations can "impede but not prevent execution of U.S. missions." Low-threat nations possess only small arms or engage in terrorist actions (p. 16). Each threat level is further divided into the likelihood of crises, high, medium and low. Voss argues that a CVBG can operate in all threat and likelihood levels, while a CGTG can operate in anything

less than and including a high threat/low likelihood environment (p. 18). Therefore, we can conclude that quality of presence declines as we move from JF1 to JF3.

B. WARFIGHTING

1. Scenario

We analyze warfighting effectiveness using a stylized scenario over a 21 day period. The scenario is based in Southwest Asia (SWA) because of few bases from which land-based forces can operate and its distance from the CONUS places maximum strain on surging forces into the theater. The analysis focuses on the air campaign prior to the introduction of ground troops.

We assume an aggressor launches a short-notice (1 week) attack, or D-day = C+7. Deployed naval forces surge into the region at 20 knots on C+0. Naval forces in the CONUS deploy on C+2, also at 20 knots. Travel times (Davis, 1993, p. 31) are contained in Table 7. The assumed naval group deployment patterns, and groups available to deploy from the Atlantic Coast (LANT) and Pacific Coast (PAC) are derived from analysis done by Davis (1993), and listed in Table 8. Carrier aircraft used for strike missions are the F/A-18E/F. All others provide strike support, such as fighter escort, airborne early warning, and electronic jamming, but their contribution to the conflict is not quantitatively analyzed. Strike aircraft have a mission capable (MC) rate of 80 percent, and a sortie rate of 2.0 (*Sortie Generation Factors*, 1994).

| | Days | Days for Stops | Total Days |
|---------|------|----------------|------------|
| Med | 7 | 0 | 7 |
| WestPac | 9 | 0 | 9 |
| LANT | 17 | 1 | 18 |
| PAC | 24 | 2 | 26 |

Table 7. Travel time from various regions to SWA at 20 knots. After Davis (1993).

| | IO | WestPac | Med | LANT | PAC |
|-----|------|---------|------|------|------|
| JF1 | CVBG | CVBG | CVBG | CVBG | CVBG |
| JF2 | CGTG | CVBG | CVBG | CVBG | CVBG |
| JF3 | CGTG | CVBG | CGTG | CGTG | CGTG |

Table 8. Naval groups deployed or capable of deploying for scenario.

Assumptions for the bomber force are drawn from Bowie (1993). In the scenario, 75 percent of the B-1B force is used, with the remaining 25 percent reserved for strategic deterrence. In the week before hostilities, one-third of the B-1Bs allocated are moved to an overseas base. All B-2A and B-52H are employed. Bombers based in the CONUS, after completing their mission, recover at the overseas base to a maximum number of 64. The order of precedence for moving bombers overseas is B-1B, B-2A and B-52H. All bombers have a MC rate of 85 percent. Sortie rates for CONUS-based bombers are 0.25, for theater-based bombers 0.5.

Ships salvo all TLAM in two days (Bowie, 1993). The CG-47s and DDG-51s remain in the theater to provide air protection. All DD-963s rearm, which requires a transit to Diego Garcia (4 day transit, 1 day reload, 9 day total turnaround). In order to allow a comparison between TLAM and aircraft, all aircraft carry precision munitions (PGMs). The B-1B carries 24, B-2A 16, and B-52H 12 PGMs respectively (*Conventional Delivery Potential*, 1993). The F/A-18E/F carries 2 PGMs (Labelle, 1994).

Table 9 shows the forces present at D+21. Table 10 displays the scenario timeline.

| | CVBG | CGTG | Bombers |
|-----|------|------|---------|
| JF1 | 4 | 0 | 21 |
| JF2 | 3 | 1 | 138 |
| JF3 | 1 | 4 | 256 |

Table 9. Forces employed in SWA scenario at D+21.

| C-Day | D-Day | Event |
|-------|-------|--|
| 0 | | Warning of hostilities in SWA Deployed naval forces surge to SWA |
| 2 | | CONUS naval groups deploy B-1Bs move overseas |
| 7 | 0 | Hostilities begin Med naval group arrives Additional bombers move overseas |
| 9 | 2 | WestPac naval group arrives |
| 20 | 13 | LANT naval group arrives |
| 28 | 21 | Scenario ends |

Table 10. General scenario timeline.

2. Measures of Effectiveness

Two measures of effectiveness (MOEs) are used, aimpoints hit and sorties flown. Aimpoints hit is a measure of strength, and is used rather than the more traditional tons of ordnance delivered due to the use of PGMs, which make it possible to destroy a target with only one weapon. However, some targets may cover a wide area, such as a power generation station, and therefore several aimpoints within the target area must be hit. Another reason for the use of aimpoints is not all PGMs are of the same tonnage. Twice the tonnage may make a bigger hole, but only one aimpoint will still be destroyed.

Unlike aimpoints, sorties are not a final output, but can increase the flexibility of a force, and are a good measurement of responsiveness and coverage. An aircraft will typically fly a sortie to a single target area, rather than attack several targets widely dispersed. Associated with each sortie is a notional payload, and this MOE is a proxy for responsiveness and dispersal of payload. The sortie measure therefore captures effectiveness in both time and battlespace which is not captured by the aimpoints measure. In essence, aimpoints deals more effectively with the mean of combat, or number of targets destroyed, while sorties gauges the variance, or the uncertainty and dynamic nature, of combat.

With the MOEs defined, we can identify the capabilities of the different components in the joint force. Two types of CVW are used, CVW-1 and CVW-2. CVW-1 is the standard airwing with 36 F/A-18E/F. CVW-2 is an enhanced airwing with 72 F/A-18E/F. With the CVW-2 no changes are made to the joint force structure, except for increasing the number of strike aircraft on each CVN using existing additional aircraft from non-deployed airwings or BAI. Some rearrangement of other aircraft is required on a CVN with CVW-2 attached. This may result in some loss in effectiveness in other, non-strike, missions, such as under-sea warfare, which we do not consider. Assuming no attrition, Table 11 lists the effectiveness of each airwing and bomber squadron.

| | Number of a/c | MC Rate | Sortie Rate | Sorties/Day | PGMs | Aimpoints/Day |
|-------|------------------|---------|-------------|-------------|------|---------------|
| CVW-1 | 36 | 0.8 | 2.0 | 58 | 2 | 116 |
| CVW-2 | 72 | 0.8 | 2.0 | 115 | 2 | 230 |
| B-1B | 14 | 0.85 | 0.25 0.5 | 3 6 | 24 | 72 144 |
| B-2A | 8 | 0.85 | 0.25 0.5 | 2 3 | 16 | 32 48 |
| B-52H | 14 | 0.85 | 0.25 0.5 | 3 6 | 12 | 36 72 |

Table 11. Carrier airwing and bomber squadron daily effectiveness with no attrition.

3. Data Output Without Attrition

Without considering attrition to forces employed, we can rather easily calculate the effectiveness of each joint force with respect to the MOEs described. TLAM effectiveness is included in aimpoints hit, but not in sorties flown, because it is a single shot weapon with no reattack capability. Results are listed in Table 12 for a 21 day scenario.

| | | Total Aimpoints Hit | Total Sorties Flown |
|-----|------------|---------------------|---------------------|
| JF1 | with CVW-1 | 13,148 | 4401 |
| | with CVW-2 | 21,572 | 8613 |
| JF2 | with CVW-1 | 26,844 | 3991 |
| | with CVW-2 | 32,716 | 6927 |
| JF3 | with CVW-1 | 34,536 | 2844 |
| | with CVW-2 | 36,856 | 4024 |

Table 12. Joint force effectiveness with no attrition.

A readily apparent result is that as the number of bomber squadrons increases, aimpoints hit increases, which is to be expected with the bombers large payload. Also, doubling the size of a CVW has a significant impact on aimpoints hit, if the data for JF1 alone are compared. Finally, the number of aimpoints hit continuously increases from JF1 to JF3 and with each doubling of the CVW.

The reverse is true for sorties flown. Decreasing the number of CVNs in the joint force decreases sorties flown, due to the higher sortie rate of the CVW. However, sorties flown is not continuously decreasing. In fact, sorties flown with JF1 and CVW-1 nearly equals sorties flown with JF3 and CVW-2.

Comparing the buildup of effectiveness as the forces surge into the theater also yields some insights. Using the assumptions from before, we calculate daily effectiveness and cumulative effectiveness for each component. Figure 2 shows daily aimpoints, Figure 3 cumulative aimpoints, Figure 4 daily sorties, and Figure 5 cumulative sorties.

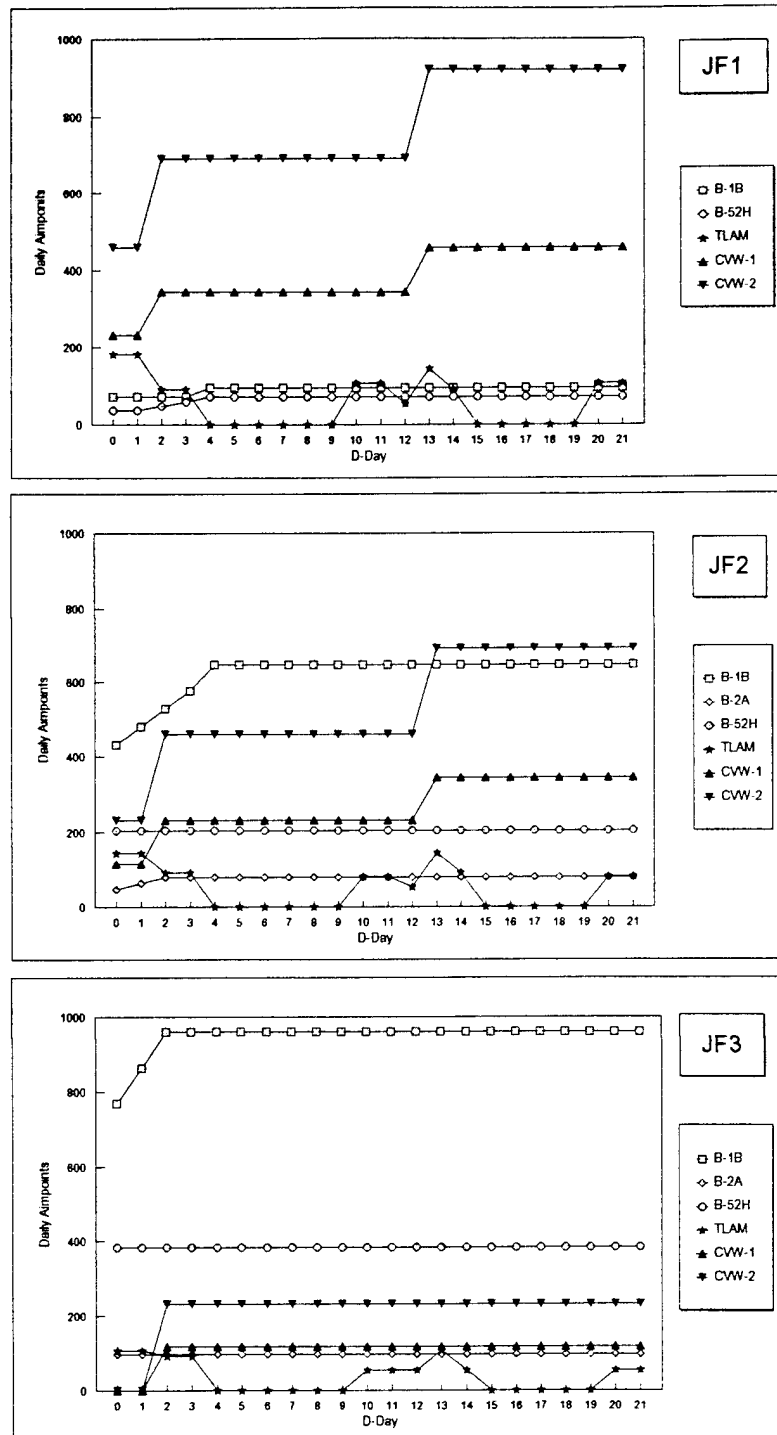


Figure 2. Daily aimpoints, no attrition.

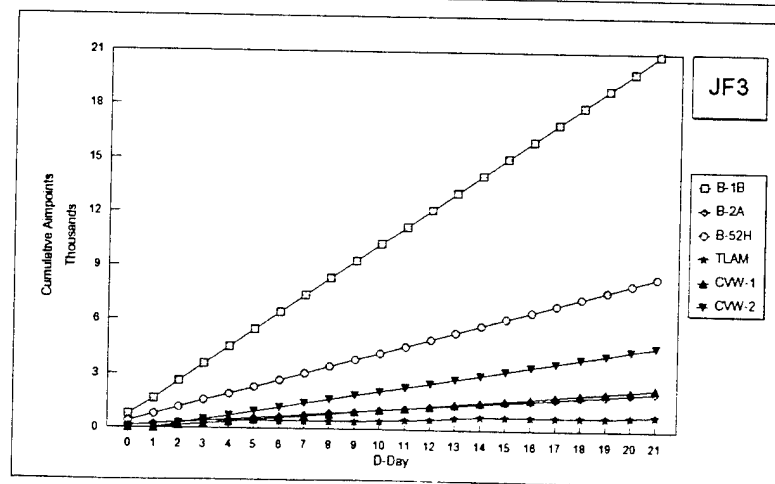
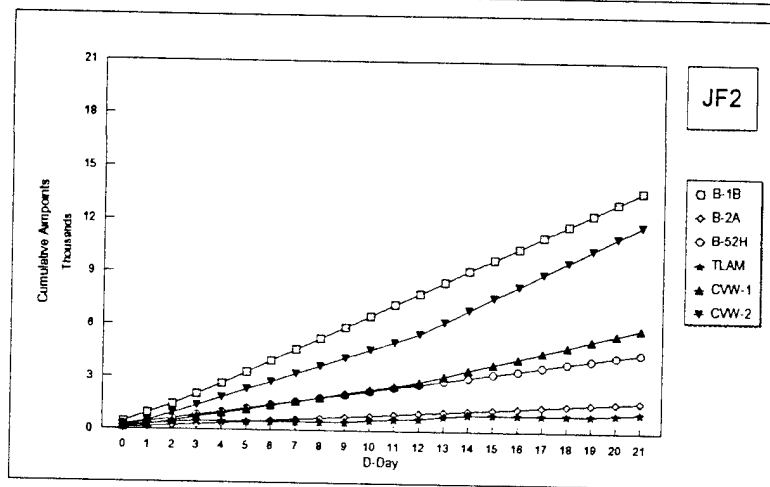
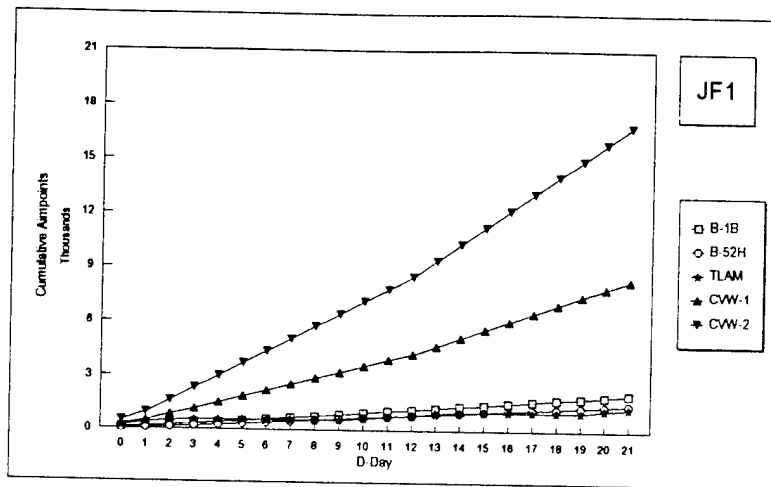


Figure 3. Cumulative aimpoints, no attrition.

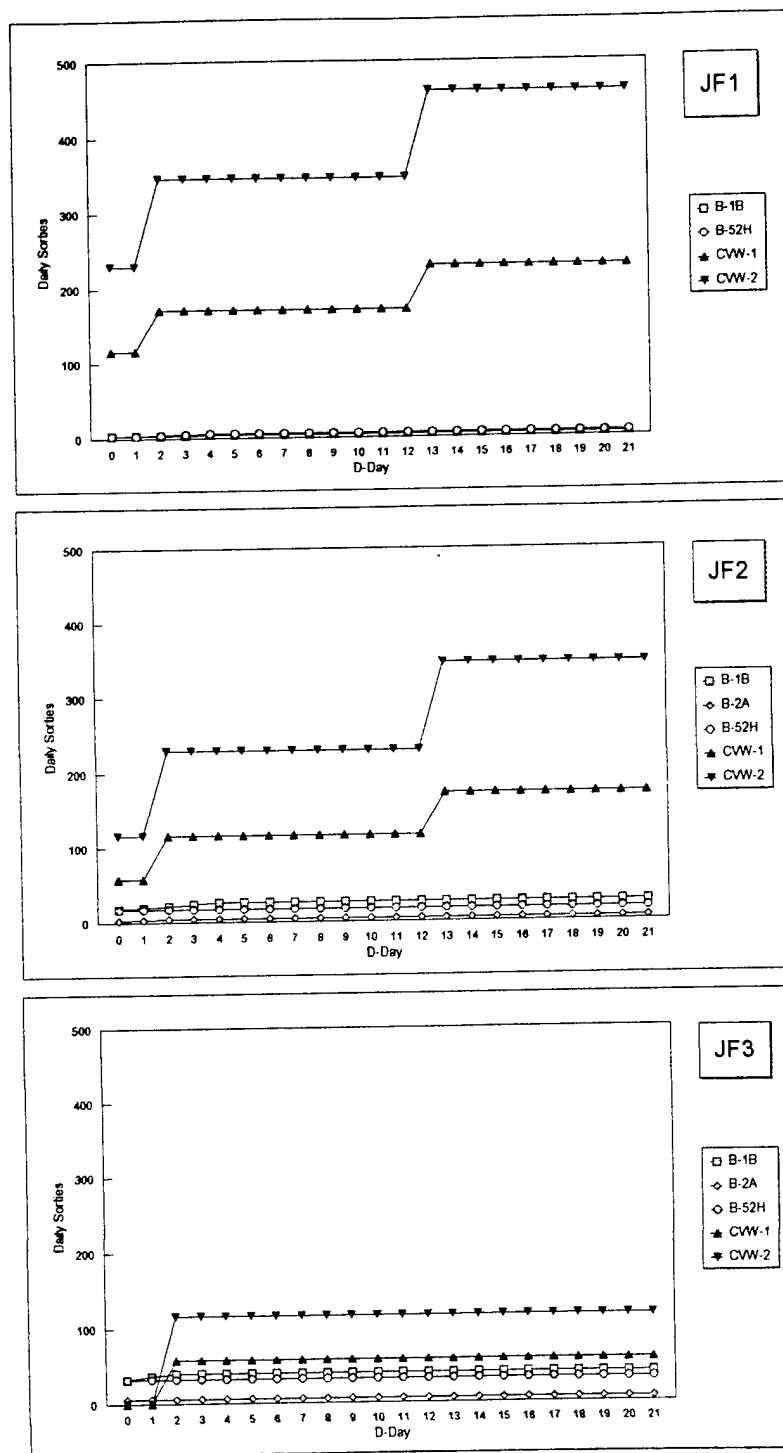


Figure 4. Daily sorties, no attrition.

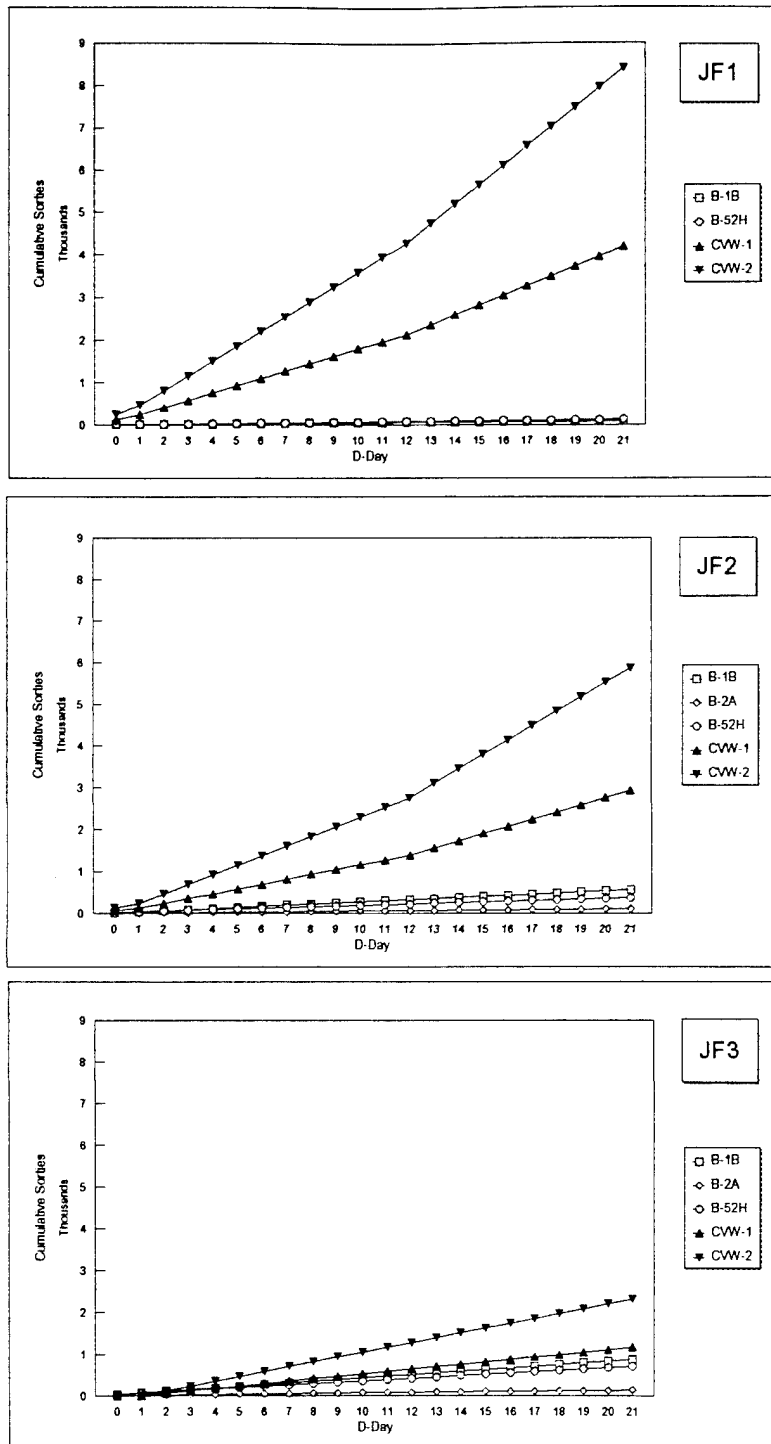


Figure 5. Cumulative sorties, no attrition.

In the figures, CVW-1 and CVW-2 both appear in order to allow comparisons to be made. However, each joint force structure has either one or the other, but not both. Therefore, when computing totals for each MOE, one type of CVW must be eliminated.

Figure 2 clearly shows that bombers quickly reach their maximum potential within the first few days of the conflict. On the naval side, a significant jump in effectiveness occurs with the arrival of a CVN, especially when carrying a CVW-2. Additionally, the daily delivery from four CVW-2s in JF1 after D+13 nearly equals the daily delivery from the B-1Bs in JF3. The B-2A and TLAM both appear to offer little to the campaign in terms of aimpoints alone. However, they most certainly would be assigned the most difficult targets, allowing the other components to more efficiently attack less heavily defended targets. However, quantifying this synergy is beyond the scope of this work. The most notable result from Figure 3 is the rapid buildup of cumulative aimpoints from the B-1Bs in JF3. The JF1 CVW-2 component also shows a significant, although somewhat less, cumulative output. We can conclude from Figures 2 and 3 that a bomber force can attack significantly more aimpoints than a force more reliant upon naval aircraft.

Figures 4 and 5, however, clearly show that naval aircraft are more efficient in producing sorties, both daily and cumulatively. In JF3, the single CVW-2 generates more sorties per day than the entire bomber force combined.

4. Data Output With Attrition

a. Attrition Assumptions

No military force can expect to engage an aggressor without suffering some attrition. Some additional assumptions are needed for this. Bombers lost are replaced from the CONUS inventory, therefore maintaining the maximum force deployed overseas. Attrition losses to naval aircraft, regardless of type of airwing, are replaced at the rate of one standard airwing, CVW-1, per week. If less than one airwing is lost, then only the number of aircraft lost is replaced. Attrition to strike-support aircraft is not calculated. TLAM also suffer losses. Finally, there is no significant threat to naval surface forces. All other assumptions hold.

We calculate aircraft attrition parametrically with rates of 0.02 and 0.04 per sortie. The rates were chosen to obtain a spread of data, with .04 being a plausible upper

limit. The argument can be made that not all aircraft, and certainly not the B-2A, will suffer the same attrition losses. Also, attrition rates should be expected to differ by target attacked and length of campaign. However, the goal is to provide comparisons between joint forces, not to predict actual campaign outcome.

b. Attrition Equation

Schwartz (1988) provides the attrition equation used. The expected number of successful sorties, S , is given by

$$S = \frac{A(1 - p)}{p} [1 - (1 - p)^R]$$

where: A = number of aircraft (4)

p = attrition rate per sortie

R = sorties flown.

The derivation follows from the geometric probability distribution. The probability an aircraft flies k successful sorties is $p(1-p)^k$. Summing over all values of k from 1 to R and then differentiating yields Equation 4 (Schwartz, pp. 3-4).

c. Data Output

Using equation 4, joint force effectiveness is presented in Table 13 for two percent attrition, and in Table 14 for four percent.

| | | Total Aimpoints Hit | Total Sorties Flown |
|-----|------------|---------------------|---------------------|
| JF1 | with CVW-1 | 11,719 | 3978 |
| | with CVW-2 | 18,619 | 7534 |
| JF2 | with CVW-1 | 24,688 | 3682 |
| | with CVW-2 | 29,792 | 6312 |
| JF3 | with CVW-1 | 32,466 | 2688 |
| | with CVW-2 | 34,522 | 3748 |

Table 13. Joint force effectiveness with 2 percent attrition.

| | | Total Aimpoints Hit | Total Sorties Flown |
|-----|------------|---------------------|---------------------|
| JF1 | with CVW-1 | 10,291 | 3555 |
| | with CVW-2 | 14,463 | 5773 |
| JF2 | with CVW-1 | 22,585 | 3403 |
| | with CVW-2 | 26,235 | 5343 |
| JF3 | with CVW-1 | 30,357 | 2543 |
| | with CVW-2 | 32,107 | 3473 |

Table 14. Joint force effectiveness with 4 percent attrition.

The results are similar to those obtained with zero attrition, aimpoints hit consistently increases as the joint force structure changes from CVWs to bombers, and sorties decreases when comparing the same type of CVW.

As before, examining force effectiveness buildup yields some insights. Figures 6 through 9 present results for two percent attrition, Figures 10 through 13 show results for four percent.

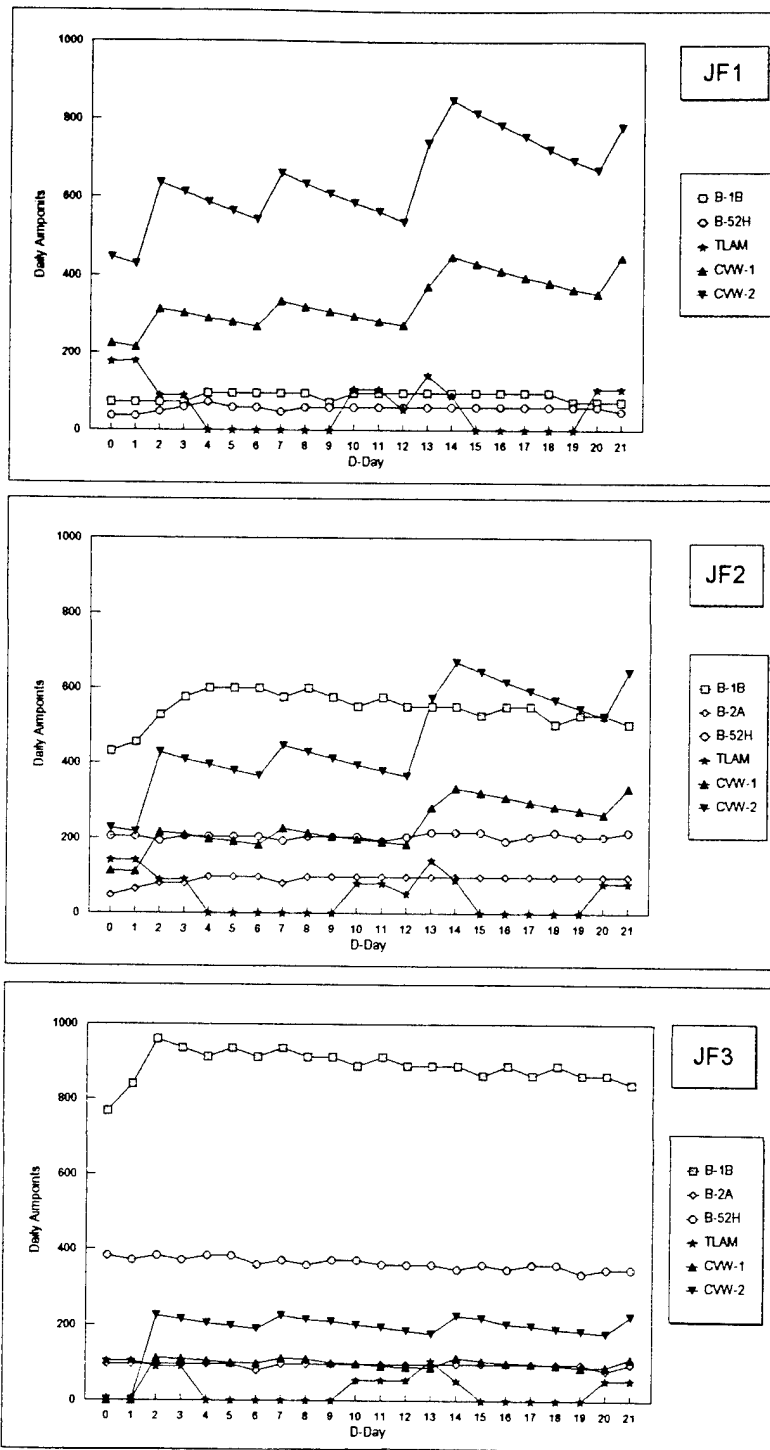


Figure 6. Daily aimpoints, 2 percent attrition.

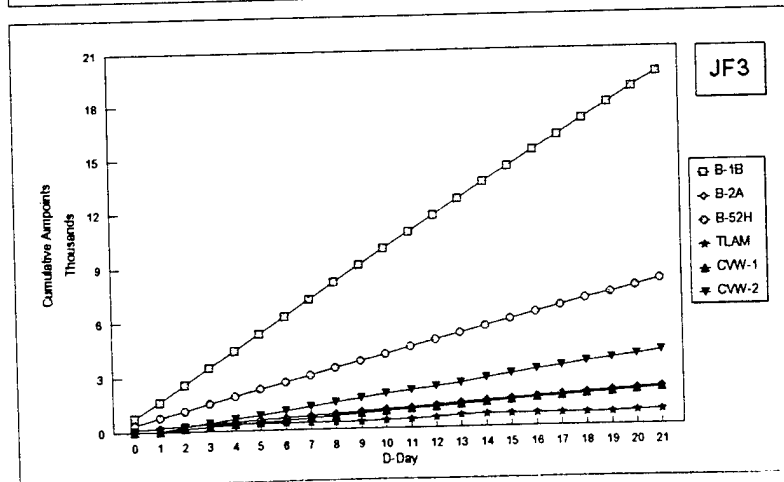
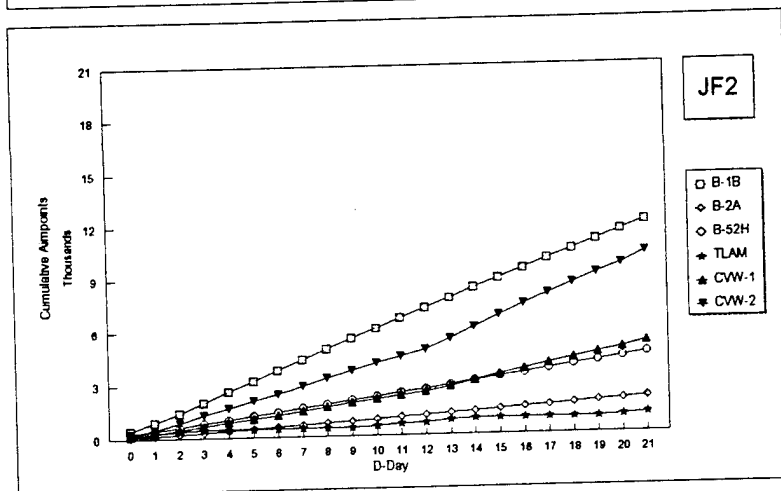
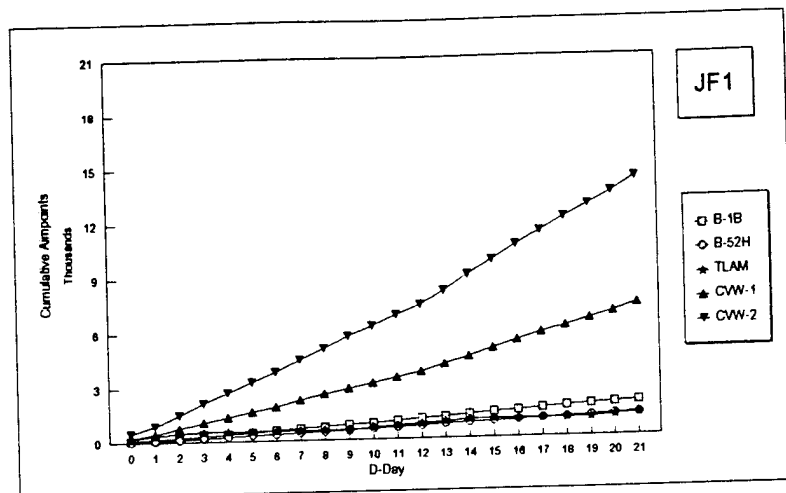


Figure 7. Cumulative aimpoints, 2 percent attrition.

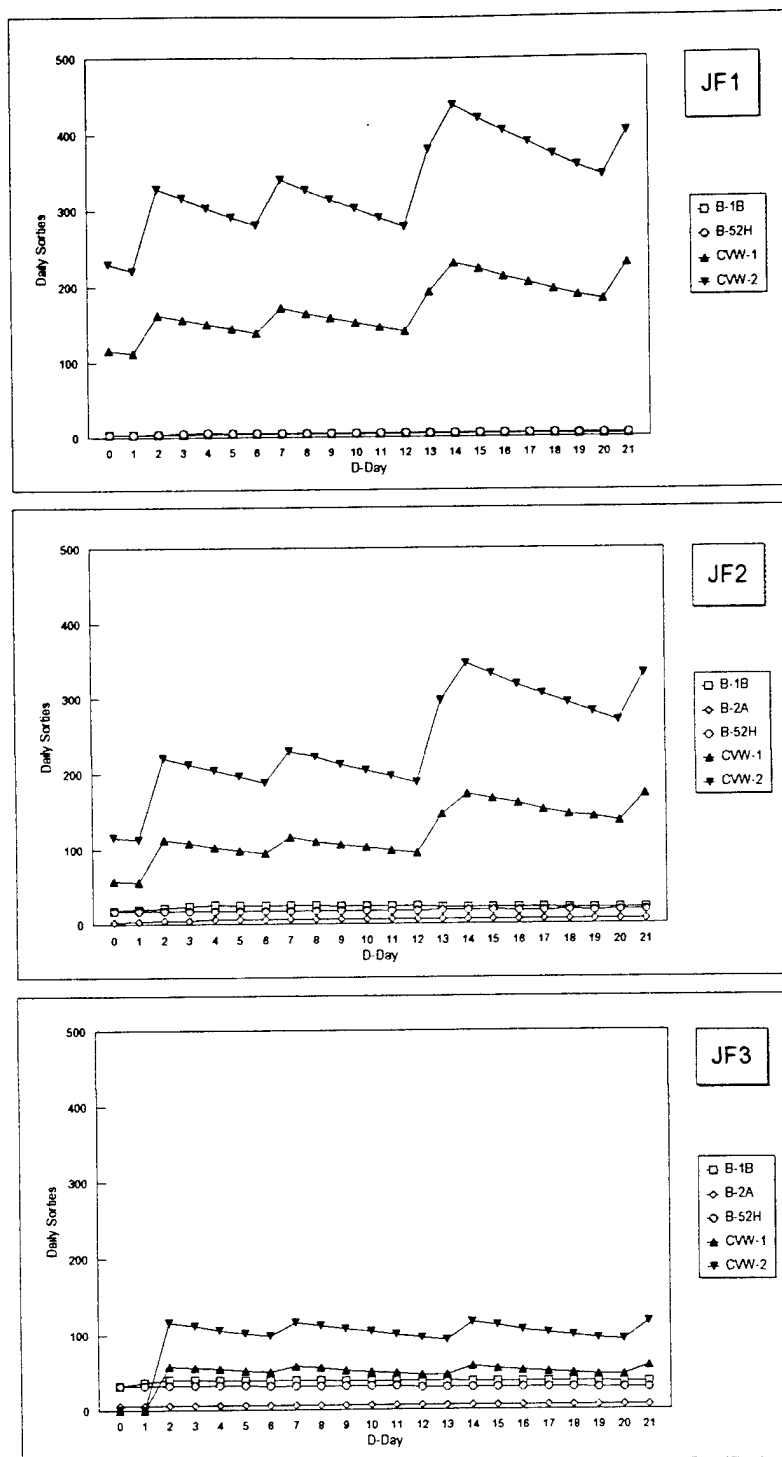


Figure 8. Daily sorties, 2 percent attrition.

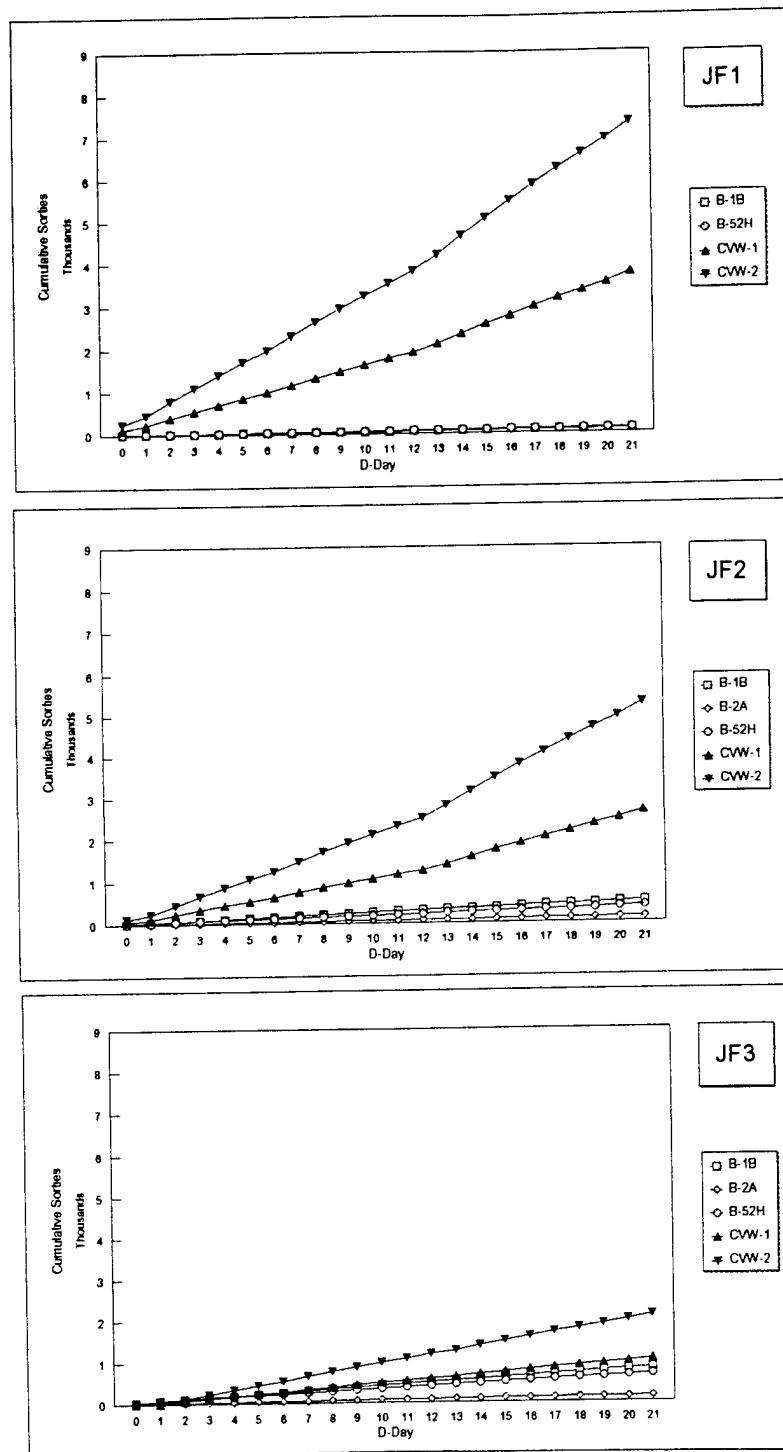


Figure 9. Cumulative sorties, 2 percent attrition.

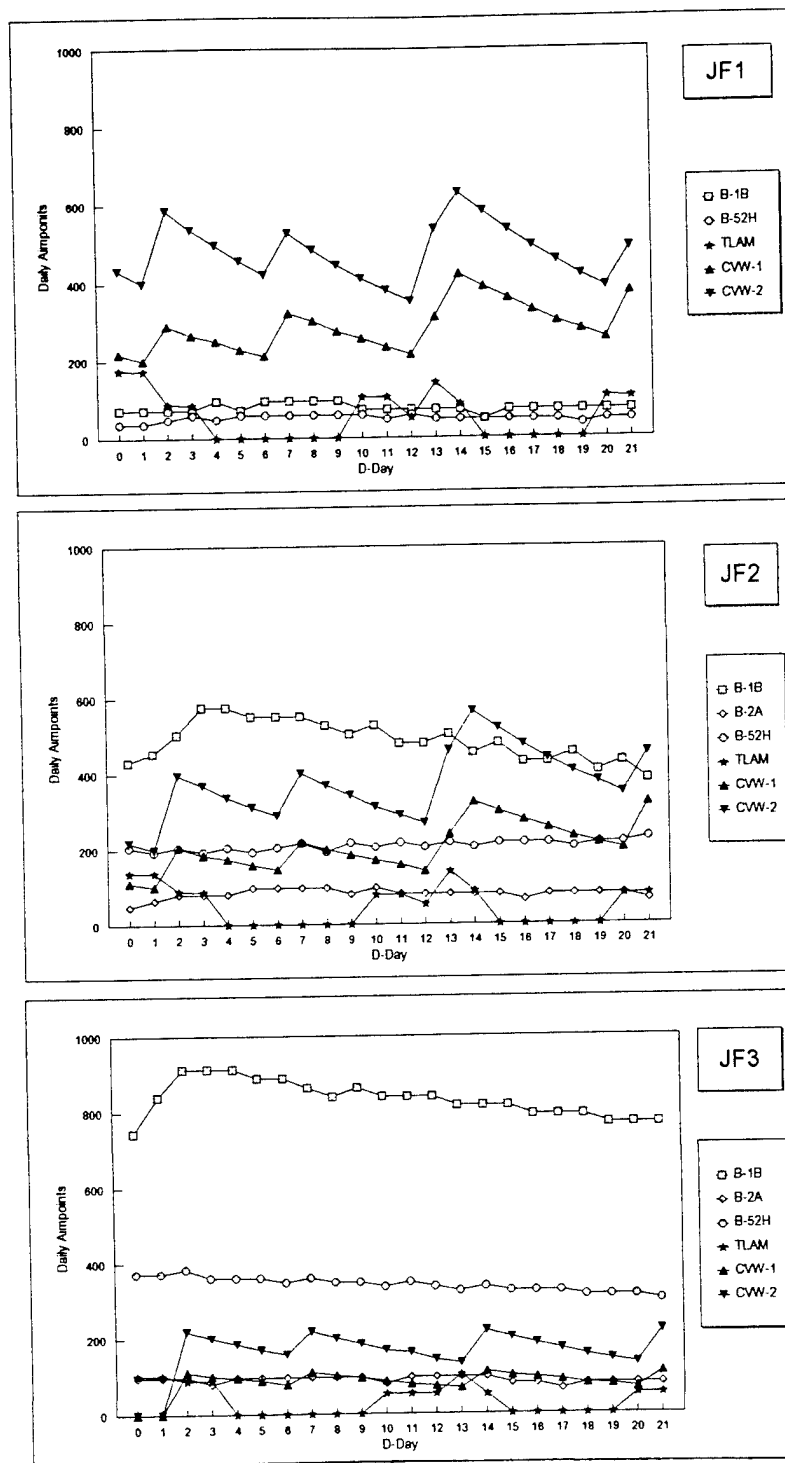


Figure 10. Daily aimpoints, 4 percent attrition.

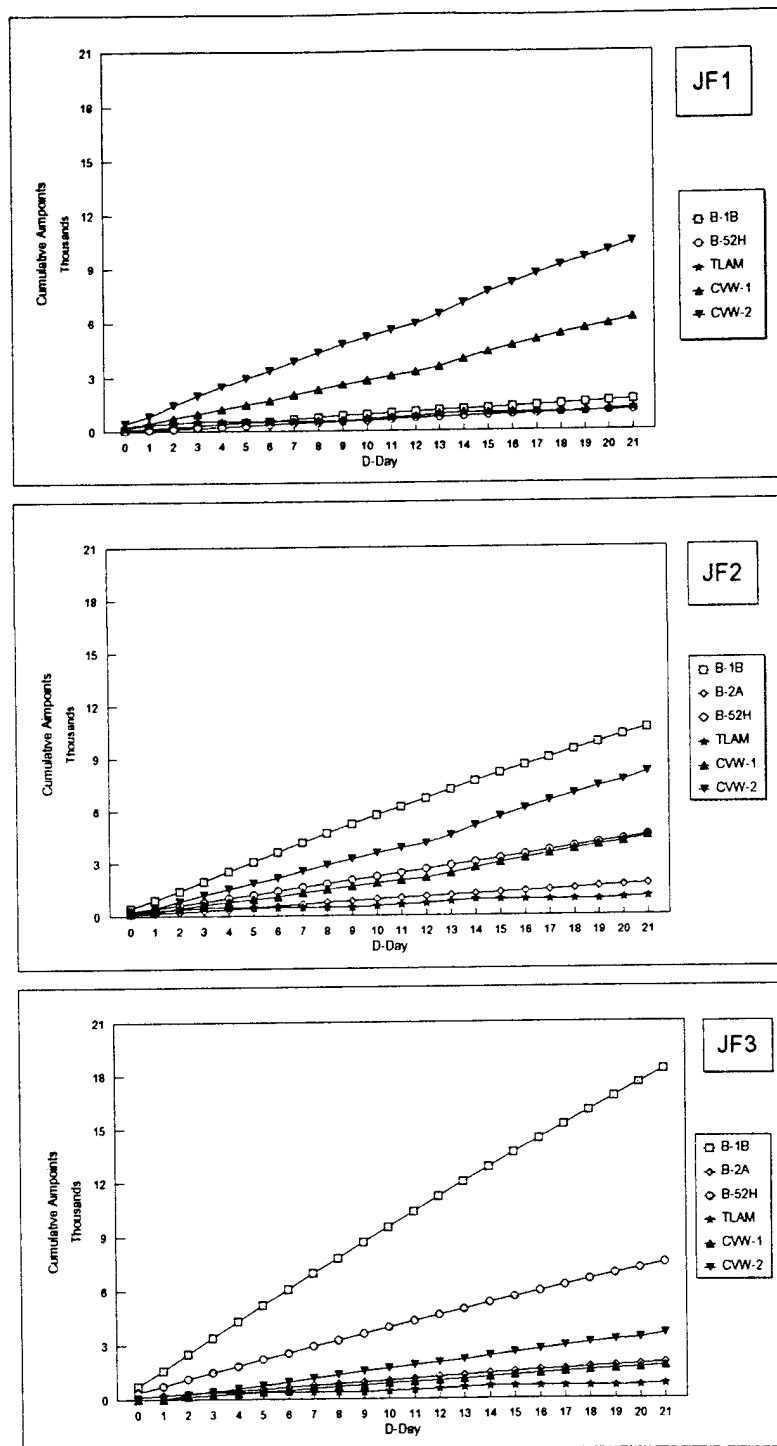


Figure 11. Cumulative aimpoints, 4 percent attrition.

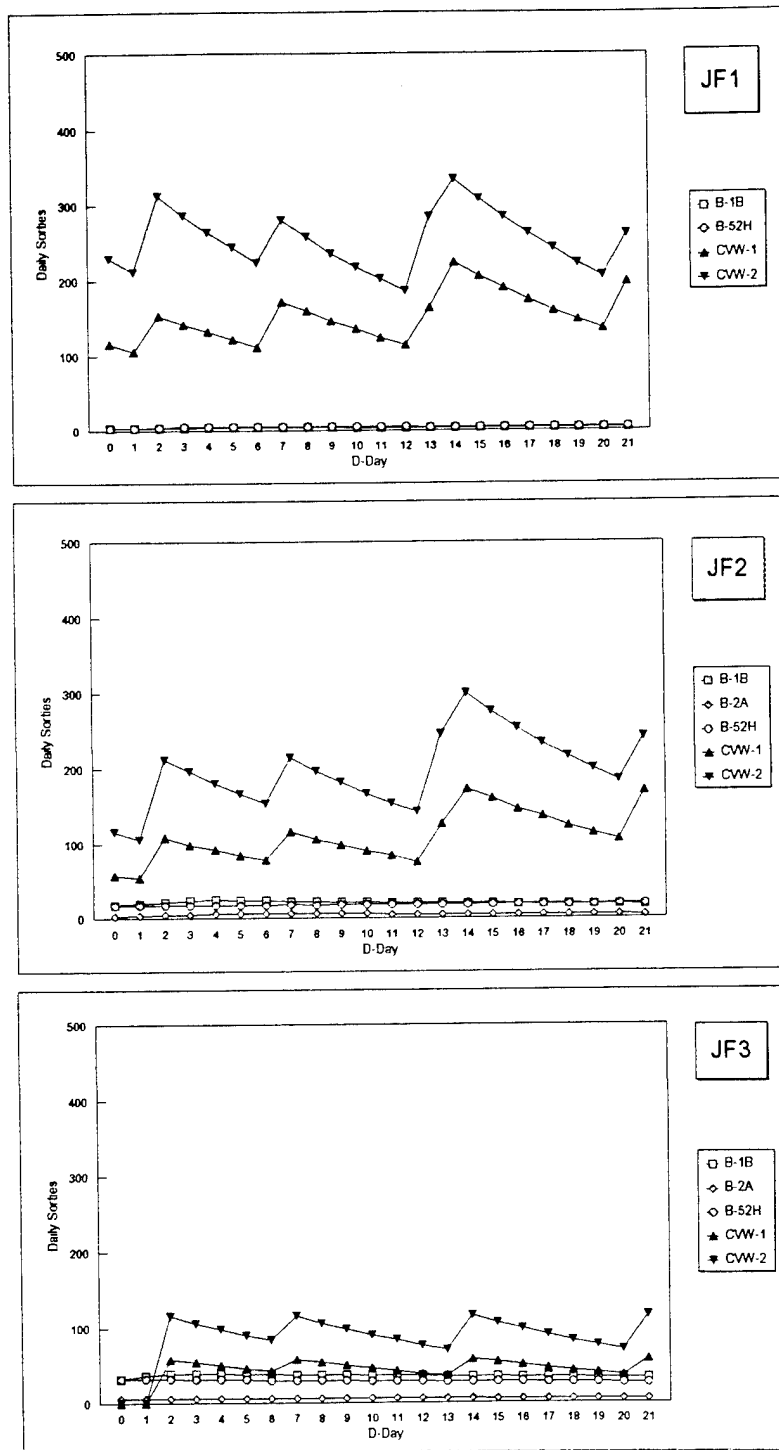


Figure 12. Daily sorties, 4 percent attrition.

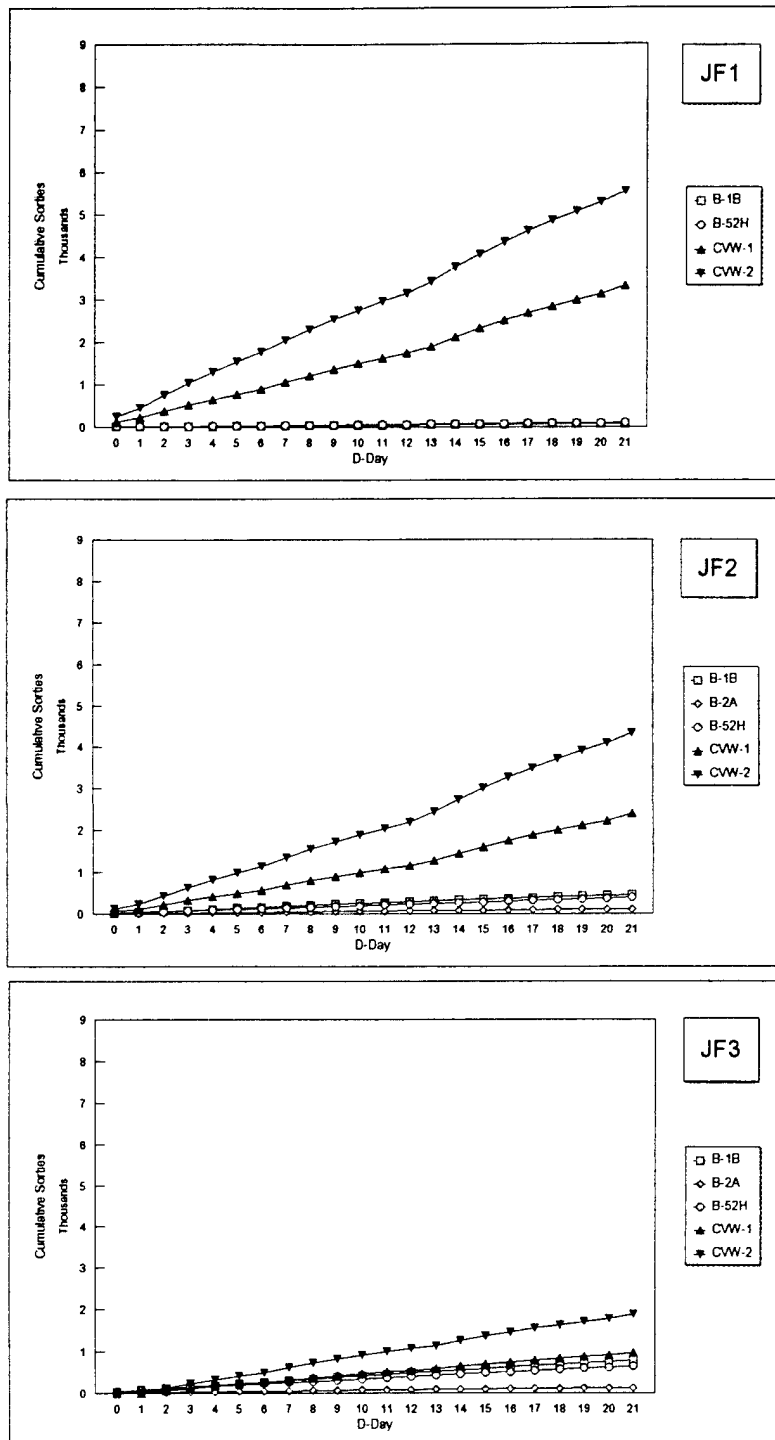


Figure 13. Cumulative sorties, 4 percent attrition.

The Figures reveal that with attrition considerations, bombers have an even larger advantage in aimpoints. Low sortie rates prevent bomber inventories from rapidly depleting. Carrier aircraft continue to dominate sorties flown, and their ability to replenish losses is a great advantage. However, losses begin outpacing the rate of replenishment at four percent attrition when three or more CVWs are in operation.

d. Measures of Loss

We have analyzed the measures of effectiveness for the joint forces, both with and without attrition. The conclusions in both conditions are generally in agreement. However, attrition results in more than a loss in immediate effectiveness. There is also a loss of equipment and people which has long-term implications. Large losses can have a political dimension, as the national will begins to erode with increasing attrition. Two measures of loss (MOL) are used; the dollar loss of aircraft, measured in annualized procurement cost, and the number of crew at risk. Crew size (Nicholas, *U.S. Military Aircraft*, 1977-1994) for an F/A-18E/F, B-1B, B-2A and B-52H is 1, 4, 2, and 6 respectively. Table 15 summarizes the MOLs.

| Attrition Rate | | 0.02 | | | 0.04 | | |
|----------------|------------|------------------|--------|------|------------------|--------|------|
| | | Aircraft Lost | Cost | Crew | Aircraft Lost | Cost | Crew |
| JF1 | with CVW-1 | 79 | \$ 253 | 95 | 138 | \$ 436 | 167 |
| | with CVW-2 | 149 | 451 | 165 | 225 | 681 | 254 |
| JF2 | with CVW-1 | 73 | 544 | 146 | 124 | 976 | 276 |
| | with CVW-2 | 125 | 691 | 198 | 210 | 1191 | 352 |
| JF3 | with CVW-1 | 55 | 595 | 179 | 101 | 1072 | 332 |
| | with CVW-2 | 76 | 655 | 200 | 137 | 1173 | 368 |

Table 15. Aircraft lost, annualized procurement cost in millions of FY 95 dollars, and number of crew at risk.

The number of aircraft lost decreases because of a greater employment of bombers with fewer sorties. However, each bomber costs significantly more and carries greater crew size, therefore both of those measures increase.

5. Opportunity Costs

Associated with each joint force is an opportunity cost of capability foregone. These costs are not as easily measured as the MOLs above. However, they are an important consideration when analyzing force structure and identifying synergistic relationships. We examine opportunity costs much in the same manner as we analyzed force effectiveness, beginning with deterrence.

Even when engaged in a regional conflict, deterring additional conflicts is still a significant role of the nation's military, and more specifically a role of naval forces. Although deployed forces and forces surged from the CONUS are employed in ending the conflict, additional forces must deploy, also from the CONUS, to reconstitute presence. As before, these presence forces must be credible in the psyche of other aggressors. This second aggressor may recognize the U.S. as entrenched in the first conflict, and therefore unwilling, or unable, to respond to a second. Although a CGTG packs an significant punch, a CVBG has even more potential and would be the preferred deterrent force if the circumstances dictate. Davis (1993) analyzed the surge capabilities of several CVN force levels (p. 32), summarized in Table 16.

| CVNs | Number of carriers deployed or capable of surging at (months) | | | | |
|------|---|---|---|---|----|
| | 0 | 1 | 2 | 3 | 6 |
| 14 | 6 | 8 | 9 | 9 | 12 |
| 10 | 4 | 5 | 8 | 8 | 10 |
| 6 | 1 | 1 | 4 | 4 | 6 |

Table 16. Number of CVNs capable of surging by month and force level.
From Davis (1993).

A force level of 14 CVNs (JF1) has a clear edge in reconstituting presence. This force is capable of providing four CVBGs to a conflict, and still maintain presence in two other regions with a CVBG. JF2, with 10 CVBGs, could also reconstitute a credible presence. JF3, however, has only one CVBG available, and it is deployed. It requires another two months before additional CVNs can be deployed. An aggressor could potentially view this as a sign of weakness. Table 17 shows the number of CVBGs employed in the conflict (assuming only those arriving before D+21 are used), the forces deployed to reconstitute presence, and the number of CVNs capable of deploying in the future.

| | CVBGs Employed | Presence Forces | | Number of CVNs to surge at | | |
|-----|-------------------|-----------------|---------|----------------------------|----------|----------|
| | | Med | WestPac | 1 month | 2 months | 6 months |
| JF1 | 4 | CVBG | CVBG | 2 | 1 | 3 |
| JF2 | 3 | CGTG | CVBG | 1 | 3 | 2 |
| JF3 | 1 | CGTG | CGTG | 0 | 3 | 2 |

Table 17. Number of CVBGs employed in the scenario at D+21, forces deployed to reconstitute presence, and number of CVNs capable of surging in the future.

Forces available to swing from the first conflict to a second is another important consideration. Consideration must be given to national security objectives in each region when deciding which conflict takes precedence, so we limit our discussion to general terms. As before, response time is a factor. Bombers can respond rapidly, possibly even using the same overseas base for both clashes. Naval groups may require a week or more to arrive, depending on the presence posture. Regardless of the type of naval group providing presence, surface combatants can provide TLAM. JF1 can provide a significant CVN level to each conflict, however the bomber level of effort is already meager, and would unlikely be split between two confrontations. A carrier force would most likely have to fight one conflict alone, providing only a delaying effort. However, by using CVW-2 its effectiveness, by both measures, increases significantly. The second joint force, JF2, is more balanced. Several CVNs could be furnished to each conflict, and the bomber forces could also be split as

needed. JF3 poses a different obstacle. Bombers available to divide is not an issue. However, having only one CVN accessible clearly represents a predicament, due its role in supplying strike support, which leads us to our final opportunity cost.

With exception for the B-2A in some circumstances, bombers require some level of strike support, such as fighter escort, airborne early warning, or electronic jamming. Without land-based tactical aircraft, this responsibility falls upon the CVN. Undoubtedly, JF1 and JF2 can fulfill the role, but it is questionable that JF3 would be up to the task. Even more dangerously, the first two days of the scenario have the bombers fighting unsupported. For this joint force structure, it may be wise to augment support aircraft instead of employing CVW-2. However, a second conflict exacerbates the problem.

6. Tanker Requirements

Long-legged, bombers flying missions from the CONUS require aerial tanking, and we make a rough estimate of those requirements. Nicholas (*U.S. Military Aircraft*, 1977-1994) estimates the fuel capacity and combat range of each bomber, and the fuel capacity of the KC-10A aerial tanker, listed in Table 18.

| | Fuel (Klbs) | Range (nm) | Fuel Efficiency (lbs/nm) |
|--------|-------------|------------|--------------------------|
| B-1B | 193.4 | 7500 | 25.79 |
| B-2A | 160.0 | 7300 | 21.92 |
| B-52H | 312.8 | 7500 | 41.71 |
| KC-10A | 356.1 | n/a | n/a |

Table 18. Aircraft fuel capacities, combat ranges, and fuel efficiencies.
After Nicholas (*U.S. Military Aircraft*, 1977-1994).

Roundtrip distances from an overseas base to SWA is approximately 6,000 nm, from the CONUS is 12,000 nm (Perin, 1991, p. 40). All bombers can complete a mission unrefueled from the overseas base, so we can exclude that from our analysis. We then need to estimate requirements for aircraft flying CONUS-to-CONUS, and CONUS-to-overseas. The B-1B is used as the example. First, the distance beyond combat range for CONUS-to-

CONUS is determined, which is 4500 nm, and then multiplied by fuel efficiency for additional pounds of fuel required, or 116,055 lbs. Divided by the capacity of the tanker gives the result that a B-1B will require one-third of a KC-10A's capacity to complete a CONUS-to-CONUS mission. For a CONUS-to-overseas, the mission distance is 9000 nm, which yields a requirement of one-tenth of a KC-10A. Requirements for all bombers is listed in Table 19.

| | CONUS-to-CONUS | CONUS-to-overseas |
|-------|----------------|-------------------|
| B-1B | .33 | .11 |
| B-2A | .29 | .10 |
| B-52H | .53 | .18 |

Table 19. Bomber aerial tanker requirements in KC-10A equivalents.

If we assume that bombers prefer to make only one refueling, then the limiting factor is the B-52H flying CONUS-to-CONUS, and will require one KC-10A for each B-52H. Any other combination of bomber and mission can utilize the excess capacity. Table 20 shows the maximum daily sorties for all bombers and mission types.

| | | JF1 | JF2 | JF3 |
|-------|-------------------|-----|-----|-----|
| B-1B | CONUS-to-CONUS | 0 | 0 | 9 |
| | CONUS-to-overseas | 2 | 9 | 16 |
| B-2A | CONUS-to-CONUS | n/a | 1 | 7 |
| | CONUS-to-overseas | n/a | 4 | 0 |
| B-52H | CONUS-to-CONUS | 0 | 18 | 33 |
| | CONUS-to-overseas | 3 | 0 | 0 |

Table 20. Maximum daily sorties by bomber and mission type.

The daily sortie requirement of KC-10As for JF1, JF2, and JF3 is 1, 18, and 33 respectively. These requirements do not account for refueling of naval aircraft and are rough estimates only.

C. TOTAL FORCE EFFECTIVENESS

The conceptual model introduced in Chapter II provides the framework for determining total effectiveness of each joint force. To assist in the comparison, the results are summarized in Table 21.

| | | JF1 | JF2 | JF3 |
|-------------|------------------|-------------|--------|----------|
| | Total CVNs | 14 | 10 | 6 |
| | Total bombers | 28 | 184 | 340 |
| Presence | Quantity | Equal | | |
| | Quality | Greatest | -----> | Least |
| Warfighting | Aimpoints | Least | <----- | Greatest |
| | Sorties | Greatest | -----> | Least |
| Opportunity | Fulfill presence | Greatest | -----> | Least |
| Costs | Fight two wars | Few bombers | Best | Few CVNs |
| | Strike Support | Greatest | -----> | Least |

Table 21. Summarization of joint force effectiveness.

None of the joint forces immediately emerges as the best choice. Some loss of deterrence occurs from lower quality of presence as the joint force structure moves from JF1 to JF3. However, a critical consideration is whether any gain in warfighting effectiveness contributes sufficiently to improve deterrence. This depends on which warfighting MOE holds more importance. We will simply assume that deterrence is maintained among all three joint forces. However, opportunity costs play an enormous role. Although we have concluded that deterrence is maintained, this is only true for a single conflict. A potential aggressor may choose to act if the U.S. were already engaged in another struggle. With this argument, JF1, although it reconstitutes presence effectively, lacks strength to fight in two regions. Similarly, JF3, although the most capable at destroying the enemy, is vulnerable without strike support. Both forces lack the necessary synergy to operate effectively in all situations.

Only JF2 appears to fully capture the synergy required. The force structure is balanced, such that the components are capable of supporting each other across all levels of deterrence and warfighting. This does not suggest that this force structure is the best out of all possible candidates, but it is the best choice of the three joint forces analyzed for the defense expenditures committed.

V. CONCLUSIONS

A. SUMMARY OF RESULTS

The military has two primary roles, deterrence of aggression and winning wars when deterrence fails. Deterrence significantly depends on forces visibly present in a region, notably naval forces. Winning wars requires a military that can respond rapidly and project sufficient strength against an aggressor. With a budget constraint, the nation cannot build a military structure that maximizes the effectiveness of each role. Instead, some reduction in effectiveness with respect to each role must be accepted, while relying on synergistic effects among force components that increase total force effectiveness.

Synergy among military forces exists on two levels, strategic and tactical. Strategically, deployed naval forces engaged in presence represent all military forces. Warfighting effectiveness acts as a force multiplier in improving deterrence effectiveness. Tactical synergy comes in many flavors. Deployed forces form the leading edge of rapid response. Military components, in their operating methods, increase warfighting effectiveness beyond what each individual component could do alone. In force structure decisions, simply making tradeoffs of weapons systems is not sufficient. The military must also capture the synergistic effects.

We analyzed the changes in deterrence and warfighting effectiveness for the joint conventional strike force, the components of which are aircraft carriers and their airwings, naval combatants with Tomahawk missiles, and long-range bombers. The components were assembled into three equal cost joint forces.

Deterrence effectiveness decreases as the number of carriers declines. However, warfighting effectiveness may improve as more bombers are acquired, offsetting somewhat the loss in deterrence. In considering warfighting effectiveness, carrier aircraft were shown to be far more effective in producing sorties, a proxy for the responsiveness and coverage of targets by strike assets, while bombers hold the edge in number of aimpoints hit. But deciding on which force structure to advocate requires more than a tradeoff between deterrence and warfighting. The effects on synergy must also be considered.

A joint force structure with an emphasis on carriers has the best deterrence. But its warfighting effectiveness declines because sufficient bombers do not exist to destroy a large number of targets. Expecting primarily carrier aircraft to accomplish this mission may expose them to significant attrition risks. Placing a heavy reliance on bombers results in some loss in deterrence, but a significant number of targets can be hit quickly. However, the bombers also may be exposed to attrition risks due to the reduction in strike support provided by carriers. Therefore, total effectiveness is likely to be maximized with a balanced force.

The continuing argument over carriers versus bombers, therefore, is unproductive. The nation's military needs both and should focus its efforts at improving joint operational effectiveness. Fryer (1995) provides an excellent description of the immense potential of joint operations which were demonstrated in a recent exercise called Kansas Global Lancer. Two B-1Bs launched from the U.S. to the island of Corsica on a bombing exercise. Naval aircraft provided suppression and fighter escort for the mission. More exercises such as this are undoubtedly necessary.

With a shrinking defense budget, force planners need to overcome the urge to compare weapons systems. Emphasis needs to be placed on the tradeoffs in joint capabilities, and how total effectiveness can be increased.

B. FUTURE STUDY QUESTIONS

1. What is the optimal mix of forces for strike warfare? The contribution of all joint strike assets, land and sea-based aircraft, bombers and TLAM, needs to be analyzed. Accomplishing this will require a serious examination of the synergy among the forces, including strike support, and a determination of the mix that will maximize effectiveness.

2. Does presence really deter war? The relationship among presence, warfighting and foreign policy must be analyzed to determine the relative emphasis that should be given to each.

APPENDIX. COST CATEGORIES

This appendix describes the hierarchy of cost categories. Some discussion of O&S cost components is presented, along with an alternative O&S model for comparison with VAMOSC data. Finally, the cost breakdown for all joint force components is contained in Tables 23 through 39.

Life Cycle Costs (LCC) are the total costs associated with a system over its lifetime. It is at the peak of the cost hierarchy, depicted in Figure 14.

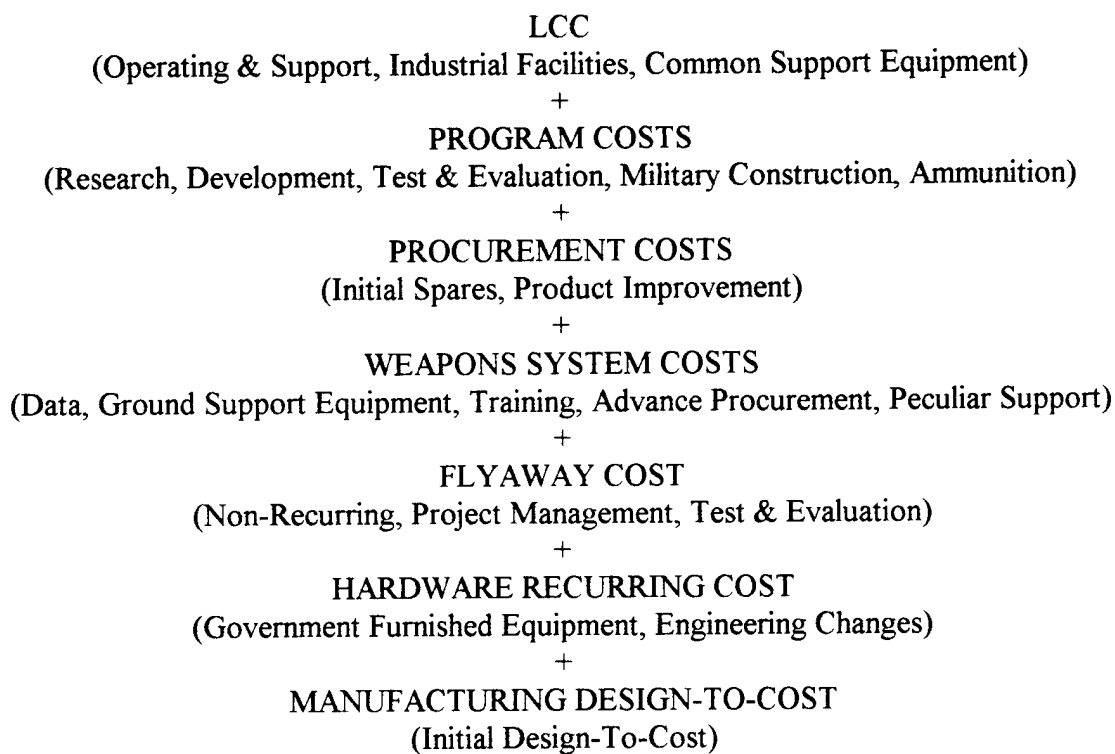


Figure 14. Cost hierarchy structure. From Nicholas (*U.S. Military Aircraft*, 1977-1994)

This cost structure applies to all military systems, although for ships flyaway cost becomes sailaway cost. Cost allocation becomes more difficult to determine as we move towards the top of the structure. Different systems begin using the same infrastructure, such as basing different aircraft types at the same airfield, or the homeporting of ships. Because of

this, cost estimates vary significantly depending on the method of allocation. A good example is the treatment of O&S costs.

The VAMOSC system was established to identify and report historical O&S costs, and as a tool for predicting future weapon system O&S costs. The general cost elements include personnel, consumables (fuel, ammunition), intermediate maintenance, depot maintenance, sustaining investment (spares, modification kits), and indirect support (*Generic Cost Estimating Guide*, 1984). The last element is not uniformly applied among the services. Included in indirect support is base operating and support (BOS) costs. The Air Force this category while the Navy does not, partially due to the difficulty in allocating costs when ships or aircraft are deployed.

An alternative method of estimating O&S is with the Quick Cost Model used by the Congressional Budget Office (CBO) (Vassar, 1989). The model costs the changes in Primary Defense Forces, e.g. aircraft or ships (p. 3). It has a hierarchical structure which links the primary forces to 12 categories of support elements. Changes in a category causes percentage changes in all subordinate categories based upon regression analysis conducted by CBO (pp. 8-10). An advantage of the Quick Cost Model is its applicability to all services. For comparison Table 22 lists O&S estimates from VAMOSC and Quick Cost for a CVBG and the bomber squadrons. The VAMOSC data does not include BOS, while Quick Cost does.

| | VAMOSC | Quick Cost |
|-------|------------|------------|
| CVBG | \$ 629.217 | \$ 807.022 |
| B-1B | 95.878 | 148.928 |
| B-2A | 132.500 | 152.384 |
| B-52H | 96.561 | 129.025 |

Table 22. Comparison of O&S estimates from VAMOSC and Quick Cost.

| | | | | | | |
|-----------------------------------|------------|------------------|------------------------------|--------------------|--------------------------------|----------------|
| Aircraft Service Life | B1-B 20 | | TAI Squadrons | 94 6 | PAA/Squadron | 14 |
| <u>Year</u> | <u>Qty</u> | <u>RDT&E</u> | Adjusted <u>RDT&E</u> | <u>Procurement</u> | Adjusted <u>Procurement</u> | <u>O&S</u> |
| 1981 | | 219.000 | 347.390 | | 0.000 | |
| 1982 | 1 | 471.000 | 707.711 | 1612.000 | 2399.343 | |
| 1983 | 7 | 753.500 | 1092.222 | 4033.500 | 5745.125 | |
| 1984 | 10 | 737.200 | 1030.608 | 6124.500 | 8440.071 | |
| 1985 | 34 | 462.500 | 627.363 | 7480.700 | 10000.350 | |
| 1986 | 48 | 248.400 | 328.109 | 4799.400 | 6215.208 | |
| 1987 | | 115.700 | 148.083 | | 0.000 | |
| 1988 | | 366.800 | 452.416 | | 0.000 | |
| 1989 | | 221.600 | 262.374 | | 0.000 | |
| Totals | 100 | | 4996.276 | | 32800.097 | |
| Average Cost | | | | | 328.001 | |
| Annualized Cost/Aircraft | | | | | 16.400 | |
| Annualized Cost/Squadron | | | | | 256.934 | 95.878 |
| Total Annualized Cost/Squadron | 352.812 | | | | | |

Table 23. B-1B cost breakdown in millions of FY 95 dollars.
After Nicholas (*U.S. Military Aircraft*, 1977-1994).

| | | | | | | |
|-----------------------------------|------------|------------------|-------------------------------|--------------------|---------------------------------|----------------|
| Aircraft Service Life | B-2A 20 | | TAI Squadrons | 20 2 | PAA/Squadron | 8 |
| <u>Year</u> | <u>Qty</u> | <u>RDT&E</u> | <u>Adjusted RDT&E</u> | <u>Procurement</u> | <u>Adjusted Procurement</u> | <u>O&S</u> |
| 1988 | 3 | 13200.000 | 16281.062 | 4100.000 | 4937.385 | |
| 1989 | 3 | 2176.500 | 2576.970 | 3036.900 | 3529.930 | |
| 1990 | 2 | 1859.700 | 2117.767 | 2302.400 | 2592.482 | |
| 1991 | 2 | 1715.700 | 1885.942 | 2348.400 | 2573.975 | |
| 1992 | 1 | 1522.300 | 1638.131 | 2298.200 | 2459.367 | |
| 1993 | 4 | 1189.300 | 1243.796 | 2642.000 | 2762.791 | |
| 1994 | | 785.800 | 803.481 | 756.800 | 773.752 | |
| 1995 | | 408.500 | 408.500 | 386.700 | 386.700 | |
| Total | 15 | | 26955.648 | | 20016.384 | |
| Average Cost | | | | | 1334.426 | |
| Annualized Cost/Aircraft | | | | | 66.721 | |
| Annualized Cost/Squadron | | | | | 667.213 | 132.500 |
| Total Annualized Cost/Squadron | 799.713 | | | | | |

Table 24. B-2A cost breakdown in millions of FY 95 dollars.
After Nicholas (*U.S. Military Aircraft*, 1977-1994).

| | | | | | |
|--------------|-------|-----------|----|--------------|----|
| Aircraft | B-52H | TAI | 94 | PAA/Squadron | 14 |
| Service Life | 20 | Squadrons | 6 | | |

| | Average | Average | |
|-----------------|-------------------|----------------------|----------------------|
| <u>Aircraft</u> | <u>Flyaway \$</u> | <u>Procurement\$</u> | <u>Ratio</u> |
| B-2A | 901.000 | 1345.867 | 1.494 |
| B-1B | 207.000 | 240.458 | 1.162 |
| EA-6B | 23.500 | 36.182 | 1.540 |
| E-2C | 35.300 | 44.412 | 1.258 |
| F-14D | 31.400 | 72.948 | 2.323 |
| F/A-18A-D | 21.200 | 31.873 | 1.503 |
| S-3 | 11.700 | 14.400 | 1.231 |
| | | | <u>Average Ratio</u> |
| | | | 1.502 |

| | | | |
|-----------------------------------|---------|--------|----------------|
| B-52H | 54.283 | 81.506 | |
| Annualized Cost/Aircraft | | 4.075 | |
| | | | <u>O&S</u> |
| Annualized Cost/Squadron | | 63.847 | 96.561 |
| Total Annualized Cost/Squadron | 160.408 | | |

Table 25. B-52H cost breakdown in millions of FY 95 dollars.
After Nicholas (*U.S. Military Aircraft, 1977-1994*).

| | | | | | | |
|-------------------------------|-----------------|------------------|-------------------------------|--------------------|---------------------------------|----------------|
| Aircraft Service Life | F-14D 18 | | Aircraft/Wing TAI/Wing | 14 20.73 | | |
| <u>Year</u> | <u>Quantity</u> | <u>RDT&E</u> | <u>Adjusted RDT&E</u> | <u>Procurement</u> | <u>Adjusted Procurement</u> | <u>O&S</u> |
| 1982 | | 5.300 | 7.964 | | 0.000 | |
| 1983 | | 6.500 | 9.422 | | 0.000 | |
| 1984 | | 40.600 | 56.759 | | 0.000 | |
| 1985 | | 276.700 | 375.333 | | 0.000 | |
| 1986 | | 347.900 | 459.537 | | 0.000 | |
| 1987 | | 278.700 | 356.704 | 92.500 | 115.684 | |
| 1988 | 7 | 168.000 | 207.214 | 818.800 | 986.032 | |
| 1989 | 12 | 152.600 | 180.678 | 951.300 | 1105.740 | |
| 1990 | 24 | 117.800 | 134.147 | 1530.500 | 1723.330 | |
| 1991 | 12 | 119.800 | 131.687 | 1115.700 | 1222.868 | |
| 1992 | | 115.100 | 123.858 | 185.100 | 198.081 | |
| 1993 | | 120.100 | 125.603 | 152.000 | 158.949 | |
| 1994 | | 70.900 | 72.495 | | 0.000 | |
| 1995 | | 171.700 | 171.700 | | 0.000 | |
| Total | 55 | | 2413.100 | | 5510.685 | |
| Average Cost | | | | | 100.194 | |
| Annualized Cost/Aircraft | | | | | 5.566 | 1.798 |
| Annualized Cost/Wing | | | | | 115.383 | 37.270 |
| Total Annualized Cost/Wing | 152.654 | | | | | |

Table 26. F-14D cost breakdown in millions of FY 95 dollars.
After Nicholas (*U.S. Military Aircraft*, 1977-1994).

| | | | | | | |
|-------------------------------|-----------------|---------------------------|-------------------------------|--------------------|---------------------------------|----------------|
| Aircraft Service Life | F/A-18E-F 15 | Aircraft/Wing TAI/Wing | 36 53.30 | | | |
| <u>Year</u> | <u>Quantity</u> | <u>RDT&E</u> | <u>Adjusted RDT&E</u> | <u>Procurement</u> | <u>Adjusted Procurement</u> | <u>O&S</u> |
| 1975 | | 20.000 | 52.598 | | 0.000 | |
| 1976 | | 131.200 | 320.861 | | 0.000 | |
| 1977 | | 341.100 | 766.538 | | 0.000 | |
| 1978 | | 625.100 | 1296.743 | 34.200 | 70.596 | |
| 1979 | 9 | 498.600 | 944.283 | 539.900 | 1011.719 | |
| 1980 | 25 | 310.300 | 533.965 | 1119.700 | 1908.605 | |
| 1981 | 60 | 170.900 | 271.091 | 2012.300 | 3178.396 | |
| 1982 | 63 | 194.000 | 291.499 | 2422.200 | 3605.266 | |
| 1983 | 84 | 107.800 | 156.260 | 2599.500 | 3702.604 | |
| 1984 | 84 | 19.800 | 27.680 | 2472.300 | 3407.035 | |
| 1985 | 84 | 31.200 | 42.322 | 2417.100 | 3231.228 | |
| 1986 | 84 | 54.300 | 71.724 | 2233.000 | 2891.728 | |
| 1987 | 84 | 30.000 | 38.397 | 2264.700 | 2832.329 | |
| 1988 | 84 | 11.800 | 14.554 | 2442.100 | 2940.875 | |
| 1989 | 84 | 10.100 | 11.958 | 2516.400 | 2924.929 | |
| 1990 | 66 | 33.300 | 37.921 | 1962.300 | 2209.533 | |
| 1991 | 48 | 76.300 | 83.871 | 1815.600 | 1989.997 | |
| 1992 | 48 | 68.600 | 73.820 | 2112.000 | 2260.110 | |
| 1993 | 36 | 52.300 | 54.696 | 1334.100 | 1395.094 | |
| 1994 | 36 | 57.300 | 58.589 | 1736.200 | 1775.091 | |
| 1995 | 24 | 63.400 | 63.400 | 1167.400 | 1167.400 | |
| Total | 1003 | | 5212.770 | | 42502.534 | |
| Average Cost | | | | | 42.375 | |
| Annualized Cost/Aircraft | | | | | 2.825 | 2.110 |
| Annualized Cost/Wing | | | | | 150.581 | 112.468 |
| Total Annualized Cost/Wing | 263.049 | | | | | |

Table 27. F/A-18E/F cost breakdown in millions of FY 95 dollars.
After Nicholas (*U.S. Military Aircraft*, 1977-1994).

| Aircraft Service Life | EA-6B 20 | Aircraft/Wing TAI/Wing | | 4 5.92 | | |
|-------------------------------|-----------------|---------------------------|-------------------------------|--------------------|---------------------------------|----------------|
| <u>Year</u> | <u>Quantity</u> | <u>RDT&E</u> | <u>Adjusted RDT&E</u> | <u>Procurement</u> | <u>Adjusted Procurement</u> | <u>O&S</u> |
| 1967 | | 67.400 | 283.490 | | 0.000 | |
| 1968 | | 54.000 | 219.980 | | 0.000 | |
| 1969 | 4 | 27.300 | 107.033 | 104.800 | 427.223 | |
| 1970 | 15 | 23.400 | 87.227 | 244.500 | 960.341 | |
| 1971 | 8 | 12.000 | 42.501 | 194.400 | 720.125 | |
| 1972 | 12 | 14.900 | 50.149 | 202.800 | 705.727 | |
| 1973 | 7 | 5.400 | 17.132 | 151.500 | 485.864 | |
| 1974 | 6 | 4.000 | 11.596 | 120.000 | 357.066 | |
| 1975 | 6 | 6.800 | 17.883 | 128.700 | 351.826 | |
| 1976 | 7 | | 0.000 | 137.600 | 348.137 | |
| 1977 | 6 | | 0.000 | 135.500 | 306.901 | |
| 1978 | 6 | 5.600 | 11.617 | 141.400 | 291.878 | |
| 1979 | 6 | 17.300 | 32.764 | 173.500 | 325.122 | |
| 1980 | 6 | 28.400 | 48.871 | 182.000 | 310.231 | |
| 1981 | 6 | 9.100 | 14.435 | 223.600 | 353.173 | |
| 1982 | 6 | 10.700 | 16.078 | 275.800 | 410.508 | |
| 1983 | 6 | 12.700 | 18.409 | 311.000 | 442.974 | |
| 1984 | 8 | 23.400 | 32.713 | 488.300 | 672.918 | |
| 1985 | 6 | 35.800 | 48.561 | 389.700 | 520.959 | |
| 1986 | 12 | 81.200 | 107.256 | 413.800 | 535.870 | |
| 1987 | 12 | 50.100 | 64.122 | 426.300 | 533.149 | |
| 1988 | 12 | | 0.000 | 458.100 | 551.662 | |
| 1989 | 12 | 26.100 | 30.902 | 555.400 | 645.567 | |
| Totals | 169 | | 1262.720 | | 10257.223 | |
| Average Cost | | | | | 60.694 | |
| Annualized Cost/Aircraft | | | | | 3.035 | 4.358 |
| Annualized Cost/Wing | | | | | 17.973 | 25.810 |
| Total Annualized Cost/Wing | 43.783 | | | | | |

Table 28. EA-6B cost breakdown in millions of FY 95 dollars.
After Nicholas (*U.S. Military Aircraft*, 1977-1994).

| | | | | | | |
|-------------------------------|-----------------|------------------|-------------------------------|--------------------|---------------------------------|----------------|
| Aircraft Service Life | S-3 24 | | Aircraft/Wing TAI/Wing | 8 11.85 | | |
| <u>Year</u> | <u>Quantity</u> | <u>RDT&E</u> | <u>Adjusted RDT&E</u> | <u>Procurement</u> | <u>Adjusted Procurement</u> | <u>O&S</u> |
| 1969 | | 80.600 | 316.003 | | 0.000 | |
| 1970 | | 140.200 | 522.619 | | 0.000 | |
| 1971 | | 264.300 | 936.082 | 22.700 | 84.089 | |
| 1972 | 13 | 204.200 | 687.276 | 372.600 | 1296.618 | |
| 1973 | 35 | 38.800 | 123.093 | 578.500 | 1855.265 | |
| 1974 | 45 | 5.200 | 15.075 | 541.100 | 1610.072 | |
| 1975 | 45 | | 0.000 | 557.600 | 1524.305 | |
| 1976 | 41 | | 0.000 | 503.900 | 1274.901 | |
| Total | 179 | | 2600.148 | | 7645.249 | |
| Average Cost | | | | | 42.711 | |
| Annualized Cost/Aircraft | | | | | 1.780 | 3.739 |
| Annualized Cost/Wing | | | | | 21.080 | 44.288 |
| Total Annualized Cost/Wing | 65.368 | | | | | |

Table 29. S-3 cost breakdown in millions of FY 95 dollars.
After Nicholas (*U.S. Military Aircraft*, 1977-1994).

| Aircraft Service Life | E-2C 17 | Aircraft/Wing TAI/Wing | 4 5.92 | | | |
|-------------------------------|-----------------|---------------------------|-------------------------------|--------------------|---------------------------------|----------------|
| <u>Year</u> | <u>Quantity</u> | <u>RDT&E</u> | <u>Adjusted RDT&E</u> | <u>Procurement</u> | <u>Adjusted Procurement</u> | <u>O&S</u> |
| 1968 | | 12.500 | 50.921 | | 0.000 | |
| 1969 | | 25.900 | 101.544 | | 0.000 | |
| 1970 | | 66.100 | 246.399 | | 0.000 | |
| 1971 | | 57.500 | 203.650 | 44.000 | 162.991 | |
| 1972 | 11 | 30.800 | 103.664 | 273.900 | 953.150 | |
| 1973 | 8 | 13.900 | 44.098 | 161.000 | 516.331 | |
| 1974 | 9 | 1.400 | 4.059 | 158.500 | 471.625 | |
| 1975 | 6 | | 0.000 | 124.600 | 340.618 | |
| 1976 | 7 | | 0.000 | 186.100 | 470.845 | |
| 1977 | 6 | | 0.000 | 156.500 | 354.465 | |
| 1978 | 6 | | 0.000 | 196.600 | 405.822 | |
| 1979 | 6 | 5.600 | 10.606 | 209.100 | 391.833 | |
| 1980 | 6 | 11.100 | 19.101 | 201.600 | 343.641 | |
| 1981 | 6 | 16.800 | 26.649 | 240.800 | 380.340 | |
| 1982 | 6 | 18.100 | 27.197 | 262.800 | 391.158 | |
| 1983 | 6 | 52.100 | 75.521 | 301.800 | 429.869 | |
| 1984 | 6 | 50.300 | 70.320 | 324.200 | 446.775 | |
| 1985 | 6 | 34.400 | 46.662 | 334.100 | 446.632 | |
| 1986 | 6 | 22.100 | 29.192 | 341.800 | 442.630 | |
| 1987 | 10 | 32.800 | 41.980 | 457.200 | 571.794 | |
| 1988 | 6 | 21.700 | 26.765 | 389.700 | 469.292 | |
| 1989 | 6 | 22.600 | 26.758 | 375.600 | 436.577 | |
| 1990 | 4 | 40.600 | 46.234 | 349.800 | 393.872 | |
| 1991 | 6 | 35.700 | 39.242 | 431.800 | 473.277 | |
| 1992 | 6 | 6.300 | 6.779 | 529.000 | 566.098 | |
| 1993 | | 6.400 | 6.693 | 94.800 | 99.134 | |
| 1994 | | 18.100 | 18.507 | 37.800 | 38.647 | |
| 1995 | 4 | 58.800 | 58.800 | 338.900 | 338.900 | |
| Total | 143 | | 1331.341 | | 10336.316 | |
| Average Cost | | | | | 72.282 | |
| Annualized Cost/Aircraft | | | | | 4.252 | 3.937 |
| Annualized Cost/Wing | | | | | 25.182 | 23.317 |
| Total Annualized Cost/Wing | 48.499 | | | | | |

Table 30. E-2C cost breakdown in millions of FY 95 dollars.
After Nicholas (*U.S. Military Aircraft, 1977-1994*).

| | | | | | | |
|-----------------------------|-----------------|------------------|-------------------------------|--------------------|---------------------------------|----------------|
| Aircraft Service Life | SH-60B 22 | | Aircraft/Wing TAL/Wing | CGTG 3 4.44 | CVBG 5 7.40 | |
| <u>Year</u> | <u>Quantity</u> | <u>RDT&E</u> | <u>Adjusted RDT&E</u> | <u>Procurement</u> | <u>Adjusted Procurement</u> | <u>O&S</u> |
| 1972 | | 19.100 | 64.285 | | 0.000 | |
| 1973 | | 18.600 | 59.009 | | 0.000 | |
| 1974 | | 9.300 | 26.961 | | 0.000 | |
| 1975 | | 30.000 | 78.897 | | 0.000 | |
| 1976 | | 27.900 | 68.232 | | 0.000 | |
| 1977 | | 72.100 | 162.027 | | 0.000 | |
| 1978 | | 135.900 | 281.919 | | 0.000 | |
| 1979 | | 94.800 | 179.539 | | 0.000 | |
| 1980 | | 178.700 | 307.507 | | 0.000 | |
| 1981 | | 100.800 | 159.895 | 105.000 | 165.846 | |
| 1982 | 18 | 70.900 | 106.532 | 706.700 | 1051.871 | |
| 1983 | 27 | 9.000 | 13.046 | 797.200 | 1135.494 | |
| 1984 | 21 | 7.100 | 9.926 | 527.600 | 727.077 | |
| 1985 | 24 | 11.300 | 15.328 | 421.400 | 563.336 | |
| 1986 | 18 | 17.200 | 22.719 | 269.800 | 349.390 | |
| 1987 | 17 | 18.600 | 23.806 | 229.700 | 287.273 | |
| 1988 | 6 | 18.400 | 22.695 | 136.300 | 164.138 | |
| 1989 | 6 | 1.900 | 2.250 | 118.500 | 137.738 | |
| 1990 | 6 | 9.900 | 11.274 | 195.900 | 220.582 | |
| 1991 | 6 | 16.600 | 18.247 | 177.100 | 194.111 | |
| 1992 | 13 | 33.800 | 36.372 | 272.300 | 291.396 | |
| 1993 | 12 | 34.400 | 35.976 | 250.600 | 262.057 | |
| 1994 | 7 | 45.300 | 46.319 | 197.300 | 201.720 | |
| Totals | 181 | | 1752.759 | | 5752.027 | |
| Average Cost | | | | | 31.779 | |
| Annualized Cost/Aircraft | | | | | 1.445 | 2.572 |
| Annualized Cost | CGTG | | | | 6.416 | 11.425 |
| | CVBG | | | | 10.694 | 19.041 |
| Total Annualized Cost | CGTG | 17.841 | | | | |
| | CVBG | 29.735 | | | | |

Table 31. SH-60B cost breakdown in millions of FY 95 dollars.
After Nicholas (*U.S. Military Aircraft*, 1977-1994).

| | | | | | | |
|-------------------------------|-----------------|------------------|-------------------------------|--------------------|---------------------------------|----------------|
| Aircraft Service Life | SH-60F 23 | | Aircraft/Wing TAI/Wing | 6 8.88 | | |
| <u>Year</u> | <u>Quantity</u> | <u>RDT&E</u> | <u>Adjusted RDT&E</u> | <u>Procurement</u> | <u>Adjusted Procurement</u> | <u>O&S</u> |
| 1984 | | 18.500 | 25.863 | | 0.000 | |
| 1985 | | 19.100 | 25.908 | | 0.000 | |
| 1986 | | 11.600 | 15.322 | 28.400 | 36.778 | |
| 1987 | 7 | 4.000 | 5.120 | 165.700 | 207.231 | |
| 1988 | 18 | | 0.000 | 332.500 | 400.410 | |
| 1989 | 18 | | 0.000 | 373.300 | 433.904 | |
| 1990 | | | 0.000 | 111.100 | 125.098 | |
| 1991 | 18 | | 0.000 | 281.000 | 307.991 | |
| 1992 | 18 | | 0.000 | 254.900 | 272.776 | |
| 1993 | 12 | | 0.000 | 165.200 | 172.753 | |
| 1994 | 9 | | 0.000 | 42.000 | 42.941 | |
| 1995 | | | 0.000 | 7.600 | 7.600 | |
| Total | 100 | | 72.213 | | 2007.481 | |
| Average Cost | | | | | 20.075 | |
| Annualized Cost/Aircraft | | | | | 0.873 | 2.649 |
| Annualized Cost/Wing | | | | | 7.754 | 23.533 |
| Total Annualized Cost/Wing | 31.287 | | | | | |

Table 32. SH-60F cost breakdown in millions of FY 95 dollars.
After Nicholas (*U.S. Military Aircraft*, 1977-1994).

| | | | |
|----------------------------|---------------------------|------------------------------|----------------------|
| Aircraft | CH-46 | Aircraft/Wing | 2 |
| Service Life | 33 | TAI/Wing | 2.96 |
| <u>Aircraft</u> | <u>Average Flyaway \$</u> | <u>Average Procurement\$</u> | <u>Ratio</u> |
| OH-58D | 3.756 | 7.946 | 2.116 |
| UH-60 | 4.671 | 7.073 | 1.514 |
| SH-60B | 14.000 | 26.914 | 1.922 |
| SH-60F | 10.008 | 22.920 | 2.290 |
| AH-64A | 8.800 | 12.987 | 1.476 |
| AH-64D | 6.300 | 8.923 | 1.416 |
| AH-1W | 4.897 | 9.994 | 2.041 |
| | | | <u>Average Ratio</u> |
| | | | 1.825 |
| CH-46 | 3.810 | 6.953 | <u>O&S</u> |
| Annualized Cost/Aircraft | | 0.211 | 7.929 |
| Annualized Cost/Wing | | 0.142 | 23.480 |
| Total Annualized Cost/Wing | 23.622 | | |

Table 33. CH-46 cost breakdown in millions of FY 95 dollars.
After Nicholas (*U.S. Military Aircraft*, 1977-1994).

Ship Class AOE 6
Service Life 30

| <u>Year</u> | <u>Quantity</u> | <u>RDT&E</u> | Adjusted <u>RDT&E</u> | <u>Procurement</u> | Adjusted <u>Procurement</u> | <u>O&S</u> |
|--------------------------|-----------------|------------------|------------------------------|--------------------|--------------------------------|----------------|
| 1985 | | 7.800 | 10.580 | | 0.000 | |
| 1986 | | 4.700 | 6.208 | | 0.000 | |
| 1987 | 1 | 1.500 | 1.920 | 497.000 | 621.569 | |
| 1988 | | 0.400 | 0.493 | | 0.000 | |
| 1989 | 1 | | 0.000 | 362.100 | 420.886 | |
| 1990 | 1 | | 0.000 | 395.100 | 444.879 | |
| 1991 | | 2.300 | 2.528 | 1.100 | 1.206 | |
| 1992 | | 0.400 | 0.430 | 210.000 | 224.727 | |
| 1993 | 1 | | 0.000 | 298.100 | 311.729 | |
| Total | 4 | | 22.160 | | 2024.995 | |
| Average Cost | | | | | 506.249 | 38.320 |
| Annualized Cost | | | | | 16.875 | 38.320 |
| Total Annualized Cost | 55.195 | | | | | |

Table 34. AOE-6 cost breakdown in millions of FY 95 dollars.
After Nicholas (*U.S. Weapon Systems*, 1977-1994).

Ship Class CG 47
Service Life 30

| <u>Year</u> | <u>Quantity</u> | <u>RD&T&E</u> | <u>Adjusted RD&T&E</u> | <u>Procurement</u> | <u>Adjusted Procurement</u> | <u>O&S</u> |
|--------------------------|-----------------|-----------------------|------------------------------------|--------------------|---------------------------------|----------------|
| 1976 | | 18.800 | 45.977 | | 0.000 | |
| 1977 | | 14.400 | 32.360 | | 0.000 | |
| 1978 | 1 | 8.600 | 17.840 | 930.000 | 1919.709 | |
| 1979 | | 10.400 | 19.696 | | 0.000 | |
| 1980 | 1 | 14.200 | 24.435 | 820.000 | 1397.746 | |
| 1981 | 2 | 4.100 | 6.504 | 1940.500 | 3064.989 | |
| 1982 | 3 | | 0.000 | 2927.700 | 4357.666 | |
| 1983 | 3 | 3.000 | 4.349 | 2972.700 | 4234.172 | |
| 1984 | 3 | 1.100 | 1.538 | 2971.400 | 4094.837 | |
| 1985 | 3 | 36.800 | 49.918 | 2795.100 | 3736.546 | |
| 1986 | 3 | 35.600 | 47.024 | 2505.300 | 3244.356 | |
| 1987 | 3 | 25.800 | 33.021 | 2753.900 | 3444.144 | |
| 1988 | 5 | 110.700 | 136.539 | 4182.800 | 5037.096 | |
| 1989 | | 66.200 | 78.381 | | 0.000 | |
| 1990 | | 61.900 | 70.490 | | 0.000 | |
| 1991 | | 99.400 | 109.263 | | 0.000 | |
| Total | 27 | | 677.334 | | 34531.259 | |
| Average Cost | | | | | 1278.936 | 28.017 |
| Annualized Cost | | | | | 42.631 | 28.017 |
| Total Annualized Cost | 70.648 | | | | | |

Table 35. CG-47 cost breakdown in millions of FY 95 dollars.
After Nicholas (*U.S. Weapon Systems*, 1977-1994).

| | | | | | | | |
|--------------------------|-----------------|------------------|-------------------------------|--------------------|---------------------------------|----------------|---------------|
| Ship Class | CVN 68 | | | | | | |
| Service Life | 45 | | | | | | |
| <u>Year</u> | <u>Quantity</u> | <u>RDT&E</u> | <u>Adjusted RDT&E</u> | <u>Procurement</u> | <u>Adjusted Procurement</u> | <u>O&S</u> | <u>Refuel</u> |
| 1978 | | | 0.000 | 268.000 | 553.207 | | |
| 1979 | | | 0.000 | 86.000 | 161.155 | | |
| 1980 | 1 | | 0.000 | 2102.000 | 3583.002 | | |
| 1981 | | 1.600 | 2.538 | 149.100 | 235.501 | | |
| 1982 | | 1.500 | 2.254 | 554.500 | 825.332 | | |
| 1983 | 2 | 1.600 | 2.319 | 6506.600 | 9267.690 | | |
| 1984 | | 1.000 | 1.398 | 11.000 | 15.159 | | |
| 1985 | | 1.000 | 1.356 | 13.100 | 17.512 | | |
| 1986 | | | 0.000 | | 0.000 | | |
| 1987 | | | 0.000 | 52.000 | 65.033 | | |
| 1988 | 2 | | 0.000 | 6237.000 | 7510.847 | | |
| 1989 | | | 0.000 | 151.100 | 175.631 | | |
| 1990 | | | 0.000 | 51.300 | 57.763 | | |
| 1991 | | 1.800 | 1.979 | 14.000 | 15.345 | | |
| 1992 | | 8.200 | 8.824 | 186.400 | 199.472 | | |
| 1993 | | 12.000 | 12.550 | 844.100 | 882.692 | | |
| 1994 | | 11.500 | 11.759 | 1210.800 | 1237.922 | | |
| 1995 | | 5.000 | 5.000 | 2460.800 | 2460.800 | | |
| Total | 5 | | 49.977 | | 27264.063 | | |
| Average Cost | | | | | 5452.813 | 165.551 | 2500.000 |
| Annualized Cost | | | | | 121.174 | 165.551 | 55.556 |
| Total Annualized Cost | 286.725 | | | | | | |

Table 36. CVN-68 cost breakdown in millions of FY 95 dollars.
After Nicholas (*U.S. Weapon Systems*, 1977-1994).

Ship Class DD 963
Service Life 30

| <u>Year</u> | <u>Quantity</u> | <u>RD&T&E</u> | <u>Adjusted RD&T&E</u> | <u>Adjusted Procurement</u> | <u>O&S</u> |
|--------------------------|-----------------|-----------------------|------------------------------------|---------------------------------|----------------|
| 1973 | | | 0.000 | 248.800 | 797.908 |
| 1974 | 7 | | 0.000 | 590.300 | 1756.469 |
| 1975 | 7 | | 0.000 | 457.100 | 1249.570 |
| 1976 | | | 0.000 | 646.200 | 1634.929 |
| 1977 | | | 0.000 | 186.900 | 423.320 |
| 1978 | 1 | | 0.000 | 383.500 | 791.622 |
| 1979 | | | 0.000 | 57.800 | 108.311 |
| 1980 | | | 0.000 | | 0.000 |
| 1981 | | | 0.000 | 2.200 | 3.475 |
| 1982 | | 2.000 | 3.005 | 1.200 | 1.786 |
| 1983 | | | 0.000 | 6.300 | 8.973 |
| Total | 15 | | 3.005 | | 6776.364 |
| Average Cost | | | | | 451.758 22.352 |
| Annualized Cost | | | | | 15.059 22.352 |
| Total Annualized Cost | 37.411 | | | | |

Table 37. DD-963 cost breakdown in millions of FY 95 dollars.
After Nicholas (*U.S. Weapon Systems*, 1977-1994).

| | | | | | | |
|-----------------|-----------------|------------------|-------------------------------|--------------------|---------------------------------|----------------|
| Ship Class | DDG 51 | | | | | |
| Service Life | 30 | | | | | |
| <u>Year</u> | <u>Quantity</u> | <u>RDT&E</u> | <u>Adjusted RDT&E</u> | <u>Procurement</u> | <u>Adjusted Procurement</u> | <u>O&S</u> |
| 1981 | | 75.300 | 119.445 | | 0.000 | |
| 1982 | | | 0.000 | | 0.000 | |
| 1983 | | 138.300 | 200.470 | | 0.000 | |
| 1984 | | 133.100 | 186.074 | 79.000 | 108.869 | |
| 1985 | 1 | 138.200 | 187.463 | 976.000 | 1304.736 | |
| 1986 | | 101.400 | 133.938 | 70.400 | 91.168 | |
| 1987 | 2 | 91.300 | 116.853 | 1730.400 | 2164.111 | |
| 1988 | | 105.300 | 129.878 | 10.400 | 12.524 | |
| 1989 | 4 | 37.200 | 44.045 | 2791.600 | 3244.807 | |
| 1990 | 5 | 105.300 | 119.912 | 3529.400 | 3974.073 | |
| 1991 | 4 | 101.000 | 111.022 | 3175.600 | 3480.632 | |
| 1992 | 5 | 92.500 | 99.538 | 4013.800 | 4295.279 | |
| 1993 | 4 | 110.500 | 115.563 | 3350.800 | 3503.997 | |
| 1994 | 3 | 102.600 | 104.909 | 2724.700 | 2785.733 | |
| 1995 | 3 | 91.600 | 91.600 | 2834.600 | 2834.600 | |
| Total | 31 | | 1760.711 | | 27800.529 | |
| Average Cost | | | | | 896.791 | 20.709 |
| Annualized Cost | | | | | 29.893 | 20.709 |
| Total | | | | | | |
| Annualized Cost | 50.602 | | | | | |

Table 38. DDG-51 cost breakdown in millions of FY 95 dollars.
After Nicholas (*U.S. Weapon Systems*, 1977-1994).

| Missile Service Life | Tomahawk 10 | | | | |
|-------------------------|-----------------|------------------|-------------------------------|--------------------|---------------------------------|
| <u>Year</u> | <u>Quantity</u> | <u>RDT&E</u> | <u>Adjusted RDT&E</u> | <u>Procurement</u> | <u>Adjusted Procurement</u> |
| 1973 | | 4.000 | 12.690 | | 0.000 |
| 1974 | | 2.300 | 6.668 | | 0.000 |
| 1975 | | 37.300 | 98.095 | | 0.000 |
| 1976 | | 130.700 | 319.638 | | 0.000 |
| 1977 | | 119.500 | 268.547 | | 0.000 |
| 1978 | | 208.500 | 432.524 | | 0.000 |
| 1979 | | 154.100 | 291.845 | | 0.000 |
| 1980 | 6 | 104.800 | 180.340 | 30.200 | 51.478 |
| 1981 | 50 | 133.900 | 212.400 | 190.000 | 300.102 |
| 1982 | 61 | 144.500 | 217.122 | 232.600 | 346.208 |
| 1983 | 51 | 109.000 | 157.999 | 221.300 | 315.209 |
| 1984 | 124 | 128.600 | 179.783 | 341.700 | 470.891 |
| 1985 | 180 | 71.900 | 97.530 | 581.000 | 776.692 |
| 1986 | 249 | 59.500 | 78.593 | 692.300 | 896.526 |
| 1987 | 324 | 77.300 | 98.935 | 735.100 | 919.347 |
| 1988 | 475 | 46.300 | 57.107 | 857.200 | 1032.275 |
| 1989 | 510 | 56.600 | 67.014 | 696.000 | 808.993 |
| 1990 | 400 | 16.600 | 18.904 | 692.300 | 779.524 |
| 1991 | 648 | 12.200 | 13.411 | 1074.000 | 1177.163 |
| 1992 | 176 | | 0.000 | 427.100 | 457.052 |
| 1993 | 200 | | 0.000 | 426.100 | 445.581 |
| 1994 | 216 | | 0.000 | 263.000 | 268.891 |
| 1995 | 217 | | 0.000 | 305.300 | 305.300 |
| Total | 3887 | | 2809.144 | | 9351.232 |
| Average Cost | | | | | 2.406 |
| Annualized Cost | 0.241 | | | | |

Table 39. Tomahawk cost breakdown in millions of FY 95 dollars.
After Nicholas (*U.S. Missile Data*, 1977-1994).

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